

# **EXHIBIT BB**

SFC C.E number: AAC298



## 账户月结单

HUNG CHIN

WANCHAI HONG KONG

结单日期: 2015年02月28日

客户编号: 6696

户口类别: 孖展户

经纪编号: AB611

页数: 1/12

帐户名称: 熊战

交易总览						
交易日期	交收日期	参考编号	事项细节	金额	账面结余	结算日结余
<b>人民币 (CNY)</b>						
31/01	31/01		承前结余:-		936,512.60	936,512.60
11/02	11/02	701066960	外汇外币转换 兑换價 6.27	(936,512.60)	0.00	0.00
13/02	16/02	702362731	卖出 600000 浦发银行 股数 100,000 平均价 14.5100	1,447,182.49	1,447,182.49	1,447,182.49
16/02	16/02	703333710	外汇外币转换 兑换價 6.2617	(1,447,182.49)	0.00	0.00
26/02	27/02	707363171	卖出 600000 浦发银行 股数 20,000 平均价 14.4800	288,838.05	288,838.05	288,838.05
27/02	27/02	708125840	外汇外币转换 兑换價 6.2965	(288,838.05)	0.00	0.00
28/02	28/02	695150891	应收利息	65.00	65.00	65.00
28/02	28/02		转后结余:-		65.00	65.00
<b>港币 (HKD)</b>						
31/01	31/01		承前结余:-		7.12	7.12
04/02	04/02	69747073	进/支: 征费项目 SH A SHARES PORTFOLIO FEE FOR JAN 2015 - (22.00)	(22.00)	(14.88)	(14.88)
11/02	11/02	700996961	存款现金存入 - BOC HK	1,000,000.00	999,985.12	999,985.12
11/02	11/02	701066290	外汇外币转换 兑换價 7.7585	(999,985.12)	0.00	0.00
13/02	13/02	702342401	存款现金存入 - BOC HK	1,000,000.00	1,000,000.00	1,000,000.00
13/02	13/02	702356630	外汇外币转换 兑换價 7.7595	(1,000,000.00)	0.00	0.00
16/02	12/02	70319118	进/支: 现金存入 - BOC HK ON 12-FEB-2015 - 1,000,000.00 交易返回前结算日: 12/02/2015	1,000,000.00	1,000,000.00	1,000,000.00
17/02	17/02	70408413	进/支: SUBSCRIPTION DEPOSIT US STREAMING QUOTE - (200.00)	(200.00)	999,800.00	999,800.00
18/02	18/02	704623560	外汇外币转换 兑换價 7.7635	(990,000.00)	262,549.41	9,800.00
18/02	24/02	704637901	卖出 01816 中国广核电力股份有限公司 - H股	252,749.41	262,549.41	262,549.41

香港花園道1號中銀大廈20樓

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SFC C.E number: AAC298



客戶編號: 6696

結單日期: 2015年02月28日

頁數: 2/12

交易總覽						
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			股數 81,000			
			平均價 3.1300			
27/02	27/02	708110960	外匯外幣轉換 兌換價 7.7605	(262,549.00)	0.41	0.41
28/02	28/02	695150951	應收利息	1.83	2.24	2.24
28/02	28/02		轉後結余:-		2.24	2.24
美元 (USD)						
31/01	31/01		承前結余:-		94,096.47	146,025.66
28/01	02/02	693006211	買入 MU MICRON TECHNOLOGY INC 股數 2,000	(59,671.52)	86,354.14	86,354.14
			平均價 29.8000			
28/01	02/02	693006231	買入 MU MICRON TECHNOLOGY INC 股數 2,000	(58,369.96)	27,984.18	27,984.18
			平均價 29.1500			
28/01	02/02	693006201	賣出 FSL FREESCALE SEMICONDUCTOR LTD 股數 2,000	66,112.29	94,096.47	94,096.47
			平均價 33.0959			
11/02	11/02	701066290	外匯外幣轉換 兌換價 7.7585	128,888.98	(143,390.20)	222,985.45
11/02	11/02	701066960	外匯外幣轉換 兌換價 6.27	149,364.05	5,973.85	372,349.50
09/02	12/02	700405961	買入 SYF SYNCHRONY FINANCIAL 股數 1,000	(32,206.32)	(26,102.73)	340,143.18
			平均價 32.1677			
10/02	13/02	700952301	買入 DNDNQ DENDREON CORP 股數 50,000	(8,721.09)	(177,637.69)	331,422.09
			平均價 0.1739			
10/02	13/02	700952311	買入 DNDNQ DENDREON CORP 股數 50,000	(8,503.56)	(186,141.25)	322,918.53
			平均價 0.1696			
10/02	13/02	700952321	買入 SYF SYNCHRONY FINANCIAL 股數 2,000	(65,578.05)	(251,719.30)	257,340.48
			平均價 32.7497			
10/02	13/02	700952351	買入 SYF SYNCHRONY FINANCIAL 股數 3,000	(98,329.95)	(350,049.25)	159,010.53
			平均價 32.7374			
10/02	13/02	700952331	賣出 MU MICRON TECHNOLOGY INC 股數 6,000	177,948.85	(172,100.40)	336,959.38
			平均價 29.6938			
13/02	13/02	702356630	外匯外幣轉換 兌換價 7.7595	128,874.28	(43,226.12)	465,833.66
16/02	16/02	703333710	外匯外幣轉換 兌換價 6.2617	231,116.55	187,890.43	696,950.21
11/02	17/02	701592561	買入 DNDNQ DENDREON CORP 股數 50,000	(2,425.00)	376,307.91	694,525.21
			平均價 0.0480			
11/02	17/02	701592571	買入 DNDNQ DENDREON CORP 股數 50,000	(2,790.00)	373,517.91	691,735.21
			平均價 0.0553			
11/02	17/02	701592581	買入 DNDNQ DENDREON CORP 股數 50,000	(2,775.00)	370,742.91	688,960.21
			平均價 0.0550			

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11/02	17/02	701592591	买入 FSL FREESCALE SEMICONDUCTOR LTD 股数 3,000 平均价 33.9950	(102,107.38)	268,635.53	586,852.83
11/02	17/02	701592601	买入 FSL FREESCALE SEMICONDUCTOR LTD 股数 3,000 平均价 34.1135	(102,463.31)	166,172.22	484,389.52
11/02	17/02	701592611	买入 DNDNQ DENDREON CORP 股数 50,000 平均价 0.0530	(2,675.00)	163,497.22	481,714.52
11/02	17/02	701592621	买入 DNDNQ DENDREON CORP 股数 50,000 平均价 0.0599	(3,020.00)	160,477.22	478,694.52
11/02	17/02	701592631	买入 DNDNQ DENDREON CORP 股数 50,000 平均价 0.0545	(2,750.00)	157,727.22	475,944.52
11/02	17/02	701592641	买入 DNDNQ DENDREON CORP 股数 50,000 平均价 0.0565	(2,850.00)	154,877.22	473,094.52
11/02	17/02	701592651	买入 DNDNQ DENDREON CORP 股数 50,000 平均价 0.0576	(2,903.13)	151,974.09	470,191.39
11/02	17/02	701592661	买入 DNDNQ DENDREON CORP 股数 50,000 平均价 0.0535	(2,700.00)	149,274.09	467,491.39
11/02	17/02	701592671	买入 DNDNQ DENDREON CORP 股数 50,000 平均价 0.0516	(2,606.25)	146,667.84	464,885.14
11/02	17/02	701592681	买入 DNDNQ DENDREON CORP 股数 50,000 平均价 0.0499	(2,520.98)	144,146.86	462,364.16
11/02	17/02	701592691	买入 DNDNQ DENDREON CORP 股数 50,000 平均价 0.0555	(2,798.75)	141,348.11	459,565.41
11/02	17/02	701592701	买入 DNDNQ DENDREON CORP 股数 50,000 平均价 0.0539	(2,721.62)	138,626.49	456,843.79
11/02	17/02	701592711	买入 DNDNQ DENDREON CORP 股数 50,000 平均价 0.0550	(2,775.00)	135,851.49	454,068.79
11/02	17/02	701592721	买入 DNDNQ DENDREON CORP 股数 45,000 平均价 0.0550	(2,500.00)	133,351.49	451,568.79
11/02	17/02	701592731	买入 FSL FREESCALE SEMICONDUCTOR LTD 股数 2,000 平均价 34.2477	(68,577.61)	64,773.88	382,991.18
11/02	17/02	701592741	买入 DNDNQ DENDREON CORP 股数 50,000 平均价 0.0496	(2,505.00)	62,268.88	380,486.18
11/02	17/02	701592751	买入 DNDNQ DENDREON CORP 股数 50,000	(2,719.00)	59,549.88	377,767.18

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			平均价 0.0539			
11/02	17/02	701592761	买入 DNDNQ DENDREON CORP 股数 50,000	(2,958.75)	56,591.13	374,808.43
			平均价 0.0587			
11/02	17/02	701592771	买入 DNDNQ DENDREON CORP 股数 50,000	(2,875.00)	53,716.13	371,933.43
			平均价 0.0570			
11/02	17/02	701592781	买入 DNDNQ DENDREON CORP 股数 50,000	(2,736.25)	50,979.88	369,197.18
			平均价 0.0542			
11/02	17/02	701592791	买入 DNDNQ DENDREON CORP 股数 50,000	(2,925.00)	48,054.88	366,272.18
			平均价 0.0580			
11/02	17/02	701592801	买入 DNDNQ DENDREON CORP 股数 5,000	(307.50)	47,747.38	365,964.68
			平均价 0.0565			
12/02	18/02	702187771	买入 DNDNQ DENDREON CORP 股数 50,000	(3,520.00)	(17,602.33)	362,444.68
			平均价 0.0699			
12/02	18/02	702187781	买入 DNDNQ DENDREON CORP 股数 50,000	(3,607.00)	(21,209.33)	358,837.68
			平均价 0.0716			
12/02	18/02	702187791	买入 DNDNQ DENDREON CORP 股数 50,000	(3,459.30)	(24,668.63)	355,378.38
			平均价 0.0687			
12/02	18/02	702187801	买入 DNDNQ DENDREON CORP 股数 50,000	(4,198.00)	(28,866.63)	351,180.38
			平均价 0.0835			
12/02	18/02	702187811	买入 DNDNQ DENDREON CORP 股数 50,000	(3,698.60)	(32,565.23)	347,481.78
			平均价 0.0735			
12/02	18/02	702187821	买入 DNDNQ DENDREON CORP 股数 50,000	(3,186.25)	(35,751.48)	344,295.53
			平均价 0.0632			
12/02	18/02	702187831	买入 DNDNQ DENDREON CORP 股数 50,000	(3,925.00)	(39,676.48)	340,370.53
			平均价 0.0780			
12/02	18/02	702187841	买入 DNDNQ DENDREON CORP 股数 50,000	(4,197.50)	(43,873.98)	336,173.03
			平均价 0.0835			
12/02	18/02	702187851	买入 DNDNQ DENDREON CORP 股数 50,000	(3,318.75)	(47,192.73)	332,854.28
			平均价 0.0659			
12/02	18/02	702187861	买入 DNDNQ DENDREON CORP 股数 50,000	(4,033.75)	(51,226.48)	328,820.53
			平均价 0.0802			
12/02	18/02	702187871	买入 DNDNQ DENDREON CORP 股数 50,000	(3,062.00)	(54,288.48)	325,758.53
			平均价 0.0607			
12/02	18/02	702187881	买入 DNDNQ DENDREON CORP	(3,948.25)	(58,236.73)	321,810.28

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			股數 50,000		
			平均價 0.0785		
12/02	18/02	702187891	买入 DNDNQ DENDREON CORP	(3,225.00)	(61,461.73) 318,585.28
			股數 50,000		
			平均價 0.0640		
12/02	18/02	702187901	买入 DNDNQ DENDREON CORP	(3,520.00)	(64,981.73) 315,065.28
			股數 50,000		
			平均價 0.0699		
12/02	18/02	702187911	买入 DNDNQ DENDREON CORP	(4,200.00)	(69,181.73) 310,865.28
			股數 50,000		
			平均價 0.0835		
12/02	18/02	702187921	买入 DNDNQ DENDREON CORP	(2,868.13)	(72,049.86) 307,997.15
			股數 50,000		
			平均價 0.0569		
12/02	18/02	702187931	买入 DNDNQ DENDREON CORP	(2,775.00)	(74,824.86) 305,222.15
			股數 50,000		
			平均價 0.0550		
12/02	18/02	702187941	买入 DNDNQ DENDREON CORP	(2,849.75)	(77,674.61) 302,372.40
			股數 50,000		
			平均價 0.0565		
12/02	18/02	702187951	买入 DNDNQ DENDREON CORP	(4,162.38)	(81,836.99) 298,210.02
			股數 50,000		
			平均價 0.0827		
12/02	18/02	702187961	买入 DNDNQ DENDREON CORP	(3,520.00)	(85,356.99) 294,690.02
			股數 50,000		
			平均價 0.0699		
12/02	18/02	702187971	买入 DNDNQ DENDREON CORP	(3,924.00)	(89,280.99) 290,766.02
			股數 50,000		
			平均價 0.0780		
12/02	18/02	702187981	买入 DNDNQ DENDREON CORP	(4,225.00)	(93,505.99) 286,541.02
			股數 50,000		
			平均價 0.0840		
12/02	18/02	702187991	买入 DNDNQ DENDREON CORP	(4,198.70)	(97,704.69) 282,342.32
			股數 50,000		
			平均價 0.0835		
12/02	18/02	702188001	买入 DNDNQ DENDREON CORP	(4,195.50)	(101,900.19) 278,146.82
			股數 50,000		
			平均價 0.0834		
12/02	18/02	702188011	买入 DNDNQ DENDREON CORP	(3,245.50)	(105,145.69) 274,901.32
			股數 50,000		
			平均價 0.0644		
12/02	18/02	702188031	买入 DNDNQ DENDREON CORP	(4,178.00)	(109,323.69) 270,723.32
			股數 50,000		
			平均價 0.0831		
12/02	18/02	702188041	买入 DNDNQ DENDREON CORP	(4,119.00)	(113,442.69) 266,604.32
			股數 50,000		
			平均價 0.0819		
12/02	18/02	702188021	卖出 SYF SYNCHRONY FINANCIAL	67,283.78	(46,158.91) 333,888.10
			股數 2,000		
			平均價 33.6823		

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18/02	18/02	704623560	外汇外币转换 兌換價 7.7635	127,519.80	81,360.89	461,407.90
13/02	19/02	702950701	买入 DNDNQ DENDREON CORP 股数 50,000 平均价 0.0949	(4,770.00)	151,171.01	456,637.90
13/02	19/02	702950711	买入 DNDNQ DENDREON CORP 股数 50,000 平均价 0.0854	(4,296.25)	146,874.76	452,341.65
13/02	19/02	702950721	买入 DNDNQ DENDREON CORP 股数 50,000 平均价 0.0884	(4,442.50)	142,432.26	447,899.15
13/02	19/02	702950731	买入 DNDNQ DENDREON CORP 股数 50,000 平均价 0.0949	(4,770.00)	137,662.26	443,129.15
13/02	19/02	702950741	买入 DNDNQ DENDREON CORP 股数 50,000 平均价 0.0870	(4,375.00)	133,287.26	438,754.15
13/02	19/02	702950751	买入 DNDNQ DENDREON CORP 股数 50,000 平均价 0.0934	(4,697.03)	128,590.23	434,057.12
13/02	19/02	702950761	买入 DNDNQ DENDREON CORP 股数 50,000 平均价 0.0938	(4,715.00)	123,875.23	429,342.12
13/02	19/02	702950771	买入 DNDNQ DENDREON CORP 股数 50,000 平均价 0.0945	(4,750.00)	119,125.23	424,592.12
13/02	19/02	702950781	买入 DNDNQ DENDREON CORP 股数 50,000 平均价 0.0949	(4,770.00)	114,355.23	419,822.12
13/02	19/02	702950791	买入 DNDNQ DENDREON CORP 股数 50,000 平均价 0.0925	(4,650.00)	109,705.23	415,172.12
13/02	19/02	702950801	买入 DNDNQ DENDREON CORP 股数 50,000 平均价 0.0948	(4,765.00)	104,940.23	410,407.12
13/02	19/02	702950811	买入 DNDNQ DENDREON CORP 股数 50,000 平均价 0.0929	(4,671.96)	100,268.27	405,735.16
13/02	19/02	702950831	买入 DNDNQ DENDREON CORP 股数 50,000 平均价 0.0947	(4,760.00)	95,508.27	400,975.16
13/02	19/02	702950841	买入 DNDNQ DENDREON CORP 股数 50,000 平均价 0.0926	(4,656.00)	90,852.27	396,319.16
13/02	19/02	702950851	买入 DNDNQ DENDREON CORP 股数 50,000 平均价 0.0947	(4,760.00)	86,092.27	391,559.16
13/02	19/02	702950861	买入 DNDNQ DENDREON CORP 股数 50,000 平均价 0.0950	(4,775.00)	81,317.27	386,784.16
13/02	19/02	702950871	买入 DNDNQ DENDREON CORP	(4,848.25)	76,469.02	381,935.91

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SFC C.E number: AAC298



客戶編號: 6696

結單日期: 2015年02月28日

頁數: 7/12

交易總覽						
交易日期	交收日期	參考編號	事項細節	金額	賬面結余	結算日結余
			股數 50,000			
			平均價 0.0965			
13/02	19/02	702950881	买入 DNDNQ DENDREON CORP	(4,775.00)	71,694.02	377,160.91
			股數 50,000			
			平均價 0.0950			
13/02	19/02	702950891	买入 DNDNQ DENDREON CORP	(4,768.25)	66,925.77	372,392.66
			股數 50,000			
			平均價 0.0949			
13/02	19/02	702950901	买入 DNDNQ DENDREON CORP	(4,765.50)	62,160.27	367,627.16
			股數 50,000			
			平均價 0.0948			
13/02	19/02	702950921	买入 DNDNQ DENDREON CORP	(4,456.08)	57,704.19	363,171.08
			股數 50,000			
			平均價 0.0886			
13/02	19/02	702950931	买入 DNDNQ DENDREON CORP	(4,822.85)	52,881.34	358,348.23
			股數 50,000			
			平均價 0.0960			
13/02	19/02	702950941	买入 DNDNQ DENDREON CORP	(4,700.00)	48,181.34	353,648.23
			股數 50,000			
			平均價 0.0935			
13/02	19/02	702950951	买入 DNDNQ DENDREON CORP	(4,768.00)	43,413.34	348,880.23
			股數 50,000			
			平均價 0.0949			
13/02	19/02	702950961	买入 DNDNQ DENDREON CORP	(4,925.00)	38,488.34	343,955.23
			股數 50,000			
			平均價 0.0980			
13/02	19/02	702950971	买入 DNDNQ DENDREON CORP	(4,925.00)	33,563.34	339,030.23
			股數 50,000			
			平均價 0.0980			
13/02	19/02	702950981	买入 DNDNQ DENDREON CORP	(4,720.00)	28,843.34	334,310.23
			股數 50,000			
			平均價 0.0939			
13/02	19/02	702950991	买入 DNDNQ DENDREON CORP	(4,652.50)	24,190.84	329,657.73
			股數 50,000			
			平均價 0.0926			
13/02	19/02	702951001	买入 DNDNQ DENDREON CORP	(4,715.00)	19,475.84	324,942.73
			股數 50,000			
			平均價 0.0938			
13/02	19/02	702951011	买入 DNDNQ DENDREON CORP	(4,850.87)	14,624.97	320,091.86
			股數 50,000			
			平均價 0.0965			
13/02	19/02	702951021	买入 DNDNQ DENDREON CORP	(4,800.00)	9,824.97	315,291.86
			股數 50,000			
			平均價 0.0955			
13/02	19/02	702951031	买入 DNDNQ DENDREON CORP	(4,795.00)	5,029.97	310,496.86
			股數 50,000			
			平均價 0.0954			
13/02	19/02	702951041	买入 DNDNQ DENDREON CORP	(4,773.19)	256.78	305,723.67
			股數 50,000			
			平均價 0.0950			

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SFC C.E number: AAC298



客戶編號: 6696

結單日期: 2015年02月28日

頁數: 8/12

交易總覽						
交易日期	交收日期	參考編號	事項細節	金額	賬面結余	結算日結余
13/02	19/02	702951051	买入 DNDNQ DENDREON CORP 股数 50,000 平均价 0.0921	(4,627.75)	(4,370.97)	301,095.92
13/02	19/02	702951061	买入 DNDNQ DENDREON CORP 股数 50,000 平均价 0.0960	(4,825.00)	(9,195.97)	296,270.92
13/02	19/02	702951071	买入 DNDNQ DENDREON CORP 股数 50,000 平均价 0.0949	(4,770.00)	(13,965.97)	291,500.92
13/02	19/02	702951081	买入 DNDNQ DENDREON CORP 股数 50,000 平均价 0.0947	(4,760.00)	(18,725.97)	286,740.92
13/02	19/02	702951091	买入 DNDNQ DENDREON CORP 股数 50,000 平均价 0.0898	(4,513.00)	(23,238.97)	282,227.92
13/02	19/02	702951101	买入 DNDNQ DENDREON CORP 股数 50,000 平均价 0.0949	(4,770.00)	(28,008.97)	277,457.92
13/02	19/02	702951111	买入 DNDNQ DENDREON CORP 股数 50,000 平均价 0.0947	(4,760.00)	(32,768.97)	272,697.92
13/02	19/02	702951121	买入 DNDNQ DENDREON CORP 股数 50,000 平均价 0.0947	(4,760.00)	(37,528.97)	267,937.92
13/02	19/02	702951131	买入 DNDNQ DENDREON CORP 股数 50,000 平均价 0.0969	(4,868.00)	(42,396.97)	263,069.92
13/02	19/02	702951141	买入 DNDNQ DENDREON CORP 股数 50,000 平均价 0.0949	(4,769.00)	(47,165.97)	258,300.92
13/02	19/02	702951151	买入 DNDNQ DENDREON CORP 股数 50,000 平均价 0.0940	(4,725.00)	(51,890.97)	253,575.92
13/02	19/02	702951161	买入 DNDNQ DENDREON CORP 股数 50,000 平均价 0.0950	(4,775.00)	(56,665.97)	248,800.92
13/02	19/02	702950821	卖出 SYF SYNCHRONY FINANCIAL 股数 1,000 平均价 33.3048	33,264.85	(23,401.12)	282,065.77
13/02	19/02	702950911	卖出 SYF SYNCHRONY FINANCIAL 股数 1,000 平均价 33.3845	33,344.46	9,943.34	315,410.23
17/02	20/02	704529051	买入 ALTR ALTERA CORP 股数 2,000 平均价 35.6058	(71,297.10)	78,789.29	244,113.13
17/02	20/02	704529061	买入 ALTR ALTERA CORP 股数 2,000 平均价 35.6160	(71,317.43)	7,471.86	172,795.70
17/02	20/02	704529071	买入 DNDNQ DENDREON CORP 股数 50,000	(4,225.00)	3,246.86	168,570.70

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SFC C.E number: AAC298



客戶編號: 6696

結單日期: 2015年02月28日

頁數: 9/12

交易總覽						
交易日期	交收日期	參考編號	事項細節	金額	賬面結余	結算日結余
17/02	20/02	704529081	平均價 0.0840 買入 DNDNQ DENDREON CORP 股數 50,000	(4,325.00)	(1,078.14)	164,245.70
17/02	20/02	704529091	平均價 0.0860 買入 ALTR ALTERA CORP 股數 1,500	(53,488.57)	(54,566.71)	110,757.13
17/02	20/02	704529041	平均價 35.6163 賣出 SYF SYNCHRONY FINANCIAL 股數 2,000	64,510.05	9,943.34	175,267.18
18/02	23/02	704917551	平均價 32.2938 買入 ALTR ALTERA CORP 股數 2,500	(90,123.52)	(93,881.78)	85,143.66
18/02	23/02	704917561	平均價 36.0062 買入 DNDNQ DENDREON CORP 股數 50,000	(3,782.77)	(97,664.55)	81,360.89
19/02	24/02	704988151	平均價 0.0752 買入 ALTR ALTERA CORP 股數 2,000	(71,417.55)	12,299.77	9,943.34
23/02	26/02	705728761	平均價 35.6660 買入 ALTR ALTERA CORP 股數 3,000	(107,607.89)	(96,846.78)	(97,664.55)
24/02	27/02	706480771	平均價 35.8263 賣出 FSL FREESCALE SEMICONDUCTOR LTD 股數 3,000	108,769.32	(94,656.78)	11,104.77
24/02	27/02	706480781	平均價 36.3000 賣出 DNDNQ DENDREON CORP 股數 20,000	1,195.00	(93,461.78)	12,299.77
27/02	27/02	708110960	平均價 0.0610 外匯外幣轉換 兌換價 7.7605	33,831.45	(59,630.33)	46,131.22
27/02	27/02	708125840	外匯外幣轉換 兌換價 6.2965	45,872.79	(13,757.54)	92,004.01
28/02	28/02	695151021	應付利息	(8.82)	(13,766.36)	91,995.19
28/02	28/02	695151021	應收利息	1.63	(13,764.73)	91,996.82
28/02	28/02		轉後結余:-		(13,764.73)	91,996.82

待结算交易				
交易日期	交收日期	参考编号	事项细节	金额
美元 (USD)				
25/02	02/03	707117761	买入 ALTR ALTERA CORP 股数 2,000 平均价 36.6068	(73,301.36)
25/02	02/03	707117811	买入 ALTR ALTERA CORP 股数 2,000 平均价 36.6233	(73,334.40)
25/02	02/03	707117781	卖出 DNDNQ DENDREON CORP 股数 20,000 平均价 0.0585	1,145.00

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SFC C.E number: AAC298



客戶編號: 6696

結單日期: 2015年02月28日

頁數: 10/12

待結算交易					金額
交易日期	交收日期	參考編號	事項細節		
25/02	02/03	707117791	賣出 DNDNQ DENDREON CORP 股數 20,000 平均價 0.0660		1,295.00
25/02	02/03	707117801	賣出 INTC INTEL CORP 股數 1,000 平均價 33.9950		33,954.21
25/02	02/03	707117821	賣出 DNDNQ DENDREON CORP 股數 20,000 平均價 0.0560		1,095.00
27/02	04/03	708751441	賣出 DNDNQ DENDREON CORP 股數 20,000 平均價 0.0575		1,125.00
27/02	04/03	708751451	賣出 DNDNQ DENDREON CORP 股數 20,000 平均價 0.0580		1,135.00
27/02	04/03	708751461	賣出 DNDNQ DENDREON CORP 股數 20,000 平均價 0.0575		1,125.00
待結算總金額					(105,761.55)

證券存倉摘要								
證券代號/ 月初持股量 名稱	本月股份進支	待結算股數	暫不可用股數	月終持股量	收市價	总市值	(孖展比率%) 孖展價值	
香港股票市場 (HKD)								
00342	新海能源集團有限公司							(20)
	100,000	0	0	0	100,000	2.780000	278,000.00	55,600.00
01816	中國廣核電力股份有限公司 - H股							(70)
	81,000	(81,000)	0	0	0	3.130000	0.00	0.00
上海股票市場-A 股 (CNY)								
600000	浦發銀行							(50)
	250,000	(120,000)	0	0	130,000	14.530000	1,888,900.00	944,450.00
美國股票市場 (USD)								
ALTR	ALTERA CORP							(60)
	0	13,000	4,000	0	17,000	37.010000	629,170.00	377,502.00
DNDNQ	DENDREON CORP							(0)
	0	4,880,000	(120,000)	0	4,760,000	0.056000	266,560.00	0.00
FSL	FREESCALE SEMICONDUCTOR LTD							(0)
	2,000	3,000	0	0	5,000	36.110000	180,550.00	0.00
INTC	INTEL CORP							(70)
	5,000	0	(1,000)	0	4,000	33.250000	133,000.00	93,100.00
MU	MICRON TECHNOLOGY INC							(60)
	2,000	(2,000)	0	0	0	30.670000	0.00	0.00
總額 (相當于港元)						11,990,118.10	4,872,127.34	

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SFC C.E number: AAC298



客戶編號: 6696

結單日期: 2015年02月28日

頁數: 11/12

利息摘要			
货币	日期	应收利息	应付利息
<u>人民币 (CNY)</u>		利率:	利率:
	01/02-05/02	0.250000%	11.500000%
	06/02-15/02	0.250000%	13.000000%
	16/02-28/02	0.250000%	11.500000%
<u>港币 (HKD)</u>		利率:	利率:
	01/02-28/02	0.010000%	5.000000%
<u>美元 (USD)</u>		利率:	利率:
	01/02-28/02	0.010000%	3.250000%

户口总览	
<u>人民币 (CNY)</u>	
现金结余 (包转後结余及待交收之交易净额)	65.00
证券市值	1,888,900.00
帐户净值	1,888,965.00
汇率率 : 相当于港元 1.235400	
	2,333,627.36
<u>港币 (HKD)</u>	
现金结余 (包转後结余及待交收之交易净额)	2.24
证券市值	278,000.00
帐户净值	278,002.24
<u>美元 (USD)</u>	
现金结余 (包转後结余及待交收之交易净额)	(13,764.73)
证券市值	1,209,280.00
帐户净值	1,195,515.27
汇率率 : 相当于港元 7.755500	
	9,271,818.68
<u>综合户口概况: 港元等值</u>	
现金结余 (包转後结余及待交收之交易净额)	(106,669.82)
证券市值	11,990,118.10
帐户净值	11,883,448.28
可用孖展价值 (证券孖展价值/信贷额 (以较低者为準))	4,000,000.00
信贷额 (有效至: 31/01/2016)	4,000,000.00
可用结余/ (追收孖展金额) (包 现金结余 及 证券孖展价值/信贷额 (以较低者为準))	3,893,330.18

## 备注

01. 阁下可于本公司网页 ([www.bocionline.com](http://www.bocionline.com)) 查询最新服务收费。如 阁下未能成功进入本公司网页查阅最新收费表, 请与阁下的客户经理联络。
02. 此单据所载之货币汇率率只作参考用途及不应视作最终結单之真实持有价值。
03. 为了提供更好的服务水平, 阁下现可以透过中银国际证券有限公司网站 [www.bocionline.com](http://www.bocionline.com) 的服务下载日结单或月结单。关于下载指示, 请到 [http://www.bocionline.com/ch/home/products\\_and\\_services.htm](http://www.bocionline.com/ch/home/products_and_services.htm) 选取“客户服务平台”。
04. 阁下如欲查询本账单或下载账单事项, 请与 阁下的客户经理联络或致电24小时服务专线 (852) 2121-0088或发电邮到 [service@bocigroup.com](mailto:service@bocigroup.com)。
05. 为保障客户资产, 由2012年11月3日起, 中银国际证券有限公司的网上提款服务平台只供阁下达提款指示, 汇款至已由阁下预先登记的香港银行账户。如 阁下欲登记银行账户作提款之用, 请与阁下的客户经理或于办公时间致电852-2121-0088。

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電話 Tel: (852) 2121 0088 傳真 Fax: (852) 2524 7327 網址 website: [www.bocigroup.com](http://www.bocigroup.com) / [www.bocionline.com](http://www.bocionline.com)

SFC C.E number: AAC298



客戶編號: 6696

結單日期: 2015年02月28日

頁數: 12/12

### 备注

06. 新加坡、日本、英国、德国、法国、瑞典及荷兰证券市场的交易服务收费将于2012年11月5日起作出调整，详情请参阅网页。
  07. 由2012年12月1日起，澳洲股票市场将会新增以下两项代理人服务收费：（1）索取股东周年或特别大会议程 - 每宗60澳元；及（2）委托代理人表决或出席会议 - 每宗60澳元。
  08. 由2014年5月2日起，新加坡证券交易清算费将由0.04%下调至0.0325%，该收费的600新元上限亦会同时删除。请注意，有关新加坡证券交易清算费的调整将延迟至2014年6月1日起生效。
  09. 公司行动 - 零碎股处理 就某些美国、加拿大及欧洲上市股票的公司行动，获派零碎股的股东可能会以收取现金（即：出售零碎股所得款项的净额）代替收取零碎股份。请注意，相应现金款额将由我以市价出售零碎股后记入在相关股东的账户上，有关的支付可能迟于实际公司行动的付款日。
  10. 中银国际证券将于2014年2月21日（「终止日」）起终止提供网上基金交易服务。客户可在终止日后透过客户经理/投资服务代表处理基金交易的指示。
  11. 部分海外股票市场的无纸化股票存入手续费将会由2014年8月1日起下调，详情请参阅我司网页「最新消息」。
  12. 中银国际证券有限公司将由2014年7月28日起停止收取新加坡股票市场的SGX交易系统费。
  13. 备注：（1）沪港股票交易机制中的北向交易将按照上交所A股市场的交收周期，股票交收将于T日进行而款项交收将于T+1日完成。于「交易总览」部份所标之北向交易的交收日，会以款项交收日显示，然而股票的交收已于交易日发生及反映于证券持仓摘要中。（2）在此文件所列与有关北向交易的费用及税款（如有）已经/将会透过香港交易所缴交中国证券登记结算有限责任公司再处理。
  14. 根据港交所通告，沪港通推出日期为2014年11月17日。客户在交易或买卖中港通股票前，请先细阅我司网页（www.bocionline.com）上的中港通补充条款。在任何时间您向中银国际证券有限公司通过中港通下单或以其他方式从事有关中港通交易，中港通补充条款被视为适用于该等指示或交易。
  15. 因应市场变化，由2015年2月1日起，本公司之瑞士法郎存款年利率将调整为-1%。  
如阁下有任何疑问，请与客户经理联络或致电本公司客户服务热线（852）2718-9666查询。
- 具体事项：自2013年3月1日起，除非另有明确限定，客户透过中银国际证券买卖由意大利常驻公司发行的股票、参与的金融工具、及相当于股本投资证券的所有权的所有交易（例如：普拉达（股票代码：01913.hk））时均需缴意大利金融交易税。
- 于2013年3月1日至2013年4月30日期间所进行的相关交易，相关的意大利金融交易税将于2013年5月3日从客户的账户中扣除。于2013年5月1日起进行的相关交易，本公司将于该等交易的结算日从客户的账户内扣除相关的意大利金融交易税。详情请参阅我司的网站www.bocionline.com。
- \*\*“CPN资本收益费用”指由发行商据「CPN产品的细则及条款」所扣留之预计资本收益预扣税款。

- 完 -

关于阁下之结单

如本行在此结单发出日期之48小时内没有收到阁下之任何书面反对通知，本结单内之所有纪录将被视为正确无误。

此单据由计算机编印，毋须签署。

此单据所载之收市价及市值只作参考用途及不应视为最终结单之真实持有量。

由于考虑到付予贵帐户之信贷服务，贵帐户存放于中银国际证券有限公司之证券将作为抵押品。

融资届满日期为 2016年01月31日。

此单据亦作为存货及/或提货收据。

就有关阁下与中银国际证券有限公司进行之证券借贷交易(如有)，请参照另外寄上之证券借贷结单。

### 债券交易之风险披露声明

以下风险披露声明不能披露所有涉及的风险。您需在交易或投资之前负责自身的资料收集和研。您应按个人自身的财务状况及投资目标谨慎考虑是否适宜进行交易或投资。我们建议您于进行交易或投资前寻求独立的财务及专业意见。

投资涉及风险。债券价格可能及确实会波动。任何一只债券的价格可能会上升及下跌，甚至变成毫无价值。买卖债券有其内在风险，因此未必一定能获取利润，反而可能导致损失。同理，买卖债务性证券也有其内在风险，因此未必一定能获取利润，反而可能导致损失。债券持有人需承受发行者的信贷风险，及不能向中银国际证券有限公司追索任何赔偿。

香港花園道1號中銀大廈20樓

20th Floor, Bank of China Tower, 1 Garden Road, Hong Kong.

如有任何垂詢，私人客戶請聯絡閣下的客戶經理，或請致電 (852) 3988 3988

For Private clients with any enquiry, please contact your Relationship Manager or please call (852) 3988 3988

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SFC C.E number: AAC298



## Monthly Account Statement

HUNG CHIN

Statement Date: February 28, 2015

Customer number: 6696

Account type: Margin account

Broker number: AE611

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WANCHAI HONG KONG

Account name: Xiong Zhan

Transactions overview						
Trans- action date	Settle- ment date	Reference number	Item Details	Amount	Account balance	Balance on settlemen t date
<b>RMB (CNY)</b>						
1/31	1/31		Balance carried forward: -		936,512.60	936,512.60
2/11	2/11	701066960	Foreign currency conversion, exchange rate: 6.27	(936,512.60)	0.00	0.00
2/13	2/16	702362731	Sell 600000 Shanghai Pudong Development Bank Quantity of shares: 100,000 Average price: 14.5100	1,447,182.49	1,447,182.49	1,447,182.49
2/16	2/16	703333710	Foreign currency conversion, exchange rate: 6.2617	(1,447,182.49)	0.00	0.00
2/26	2/27	707363171	Sell 600000 Shanghai Pudong Development Bank Quantity of shares: 20,000 Average price: 14.4800	288,838.05	288,838.05	288,838.05
2/27	2/27	708125840	Foreign currency conversion, exchange rate: 6.2965	(288,838.05)	0.00	0.00
2/28	2/28	695150891	Interest receivable	65.00	65.00	65.00
2/28	2/28		Carryover balance: -		65.00	65.00
<b>Hong Kong Dollar (HKD)</b>						
1/31	1/31		Balance carried forward: -		7.12	7.12
2/4	2/4	69747073	Credit/debit: fee levied SH A SHARES PORTFOLIO FEE FOR JAN 2015 - (22.00)	(22.00)	(14.88)	(14.88)
2/11	2/11	700996961	Cash deposit - BOC HK	1,000,000.00	999,985.12	999,985.12
2/11	2/11	701066290	Foreign currency conversion, exchange rate: 7.7585	(999,985.12)	0.00	0.00
2/13	2/13	702342401	Cash deposit - BOC HK	1,000,000.00	1,000,000.00	1,000,000.00
2/13	2/13	702356630	Foreign currency conversion, exchange rate: 7.7595	(1,000,000.00)	0.00	0.00
2/16	2/12	70319118	Credit/debit: Cash dividend- BOC HK ON 12-FEB-2015 - 1,000,000.00 Ex-dividend date : 2/12/2015	1,000,000.00	1,000,000.00	1,000,000.00
2/17	2/17	70408413	Credit/debit: SUBSCRIPTION DEPOSIT US STREAMING QUOTE - (200.00)	(200.00)	999,800.00	999,800.00
2/18	2/18	704623560	Foreign currency conversion, exchange rate: 7.7635	(990,000.00)	262,549.41	9,800.00
2/18	2/24	704637901	Sell 01816 China General Nuclear Power Group Limited--H-shares	252,749.41	262,549.41	262,549.41

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Customer number: 6696

Statement Date: February 28, 2015

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Transactions overview						
Trans-action date	Settle-ment date	Reference number	Item Details	Amount	Account balance	Balance on settlement date
			Quantity of shares: 81,000 Average price: 3.1300			
2/27	2/27	708110960	Foreign currency conversion, exchange rate: 7.7605	(262,549.00)	0.41	0.41
2/28	2/28	695150951	Interest receivable	1.83	2.24	2.24
2/28	2/28		Carryover balance : -		2.24	2.24
US Dollar (USD)						
1/31	1/31		Balance carried forward: -		94,096.47	146,025.66
1/28	2/2	693006211	Buy MU MICRON TECHNOLOGY INC Quantity of shares: 2,000 Average price: 29.8000	(59,671.52)	86,354.14	86,354.14
1/28	2/2	693006231	Buy MU MICRON TECHNOLOGY INC Quantity of shares: 2,000 Average price: 29.1500	(58,369.96)	27,984.18	27,984.18
1/28	2/2	693006201	Sell FSL FREESCALE SEMICONDUCTOR LTD Quantity of shares: 2,000 Average price: 33.0959	66,112.29	94,096.47	94,096.47
2/11	2/11	701066290	Foreign currency conversion, exchange rate: 7.7585	128,888.98	(143,390.20)	222,985.45
2/11	2/11	701066960	Foreign currency conversion, exchange rate: 6.27	149,364.05	5,973.85	372,349.50
2/9	2/12	700405961	Buy SYF SYNCHRONY FINANCIAL Quantity of shares: 1,000 Average price: 32.1677	(32,206.32)	(26,102.73)	340,143.18
2/10	2/13	700952301	Buy DNDNQ DENDREON CORP Quantity of shares: 50,000 Average price: 0.1739	(8,721.09)	(177,637.69)	331,422.09
2/10	2/13	700952311	Buy DNDNQ DENDREON CORP Quantity of shares: 50,000 Average price: 0.1696	(8,503.56)	(186,141.25)	322,918.53
2/10	2/13	700952321	Buy SYF SYNCHRONY FINANCIAL Quantity of shares: 2,000 Average price: 32.7497	(65,578.05)	(251,719.30)	257,340.48
2/10	2/13	700952351	Buy SYF SYNCHRONY FINANCIAL Quantity of shares: 3,000 Average price: 32.7374	(98,329.95)	(350,049.25)	159,010.53
2/10	2/13	700952331	Sell MU MICRON TECHNOLOGY INC Quantity of shares: 6,000 Average price: 29.6938	177,948.85	(172,100.40)	336,959.38
2/13	2/13	702356630	Foreign currency conversion, exchange rate: 7.7595	128,874.28	(43,226.12)	465,833.66
2/16	2/16	703333710	Foreign currency conversion, exchange rate: 6.2617	231,116.55	187,890.43	696,950.21
2/11	2/17	701592561	Buy DNDNQ DENDREON CORP Quantity of shares: 50,000 Average price: 0.0480	(2,425.00)	376,307.91	694,525.21
2/11	2/17	701592571	Buy DNDNQ DENDREON CORP Quantity of shares: 50,000 Average price: 0.0553	(2,790.00)	373,517.91	691,735.21
2/11	2/17	701592581	Buy DNDNQ DENDREON CORP Quantity of shares: 50,000 Average price: 0.0550	(2,775.00)	370,742.91	688,960.21



SFC C.E number: AAC298



Customer number: 6696

Statement Date: February 28, 2015

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Transactions overview						
Trans- action date	Settle- ment date	Reference number	Item Details	Amount	Account balance	Balance on settlement date
2/11	2/17	701592591	Buy FSL FREESCALE SEMICONDUCTOR LTD Quantity of shares: 3,000 Average price: 33.9950	(102,107.38)	268,635.53	586,852.83
2/11	2/17	701592601	Buy FSL FREESCALE SEMICONDUCTOR LTD Quantity of shares: 3,000 Average price: 34.1135	(102,463.31)	166,172.22	484,389.52
2/11	2/17	701592611	Buy DNDNQ DENDREON CORP Quantity of shares: 50,000 Average price: 0.0530	(2,675.00)	163,497.22	481,714.52
2/11	2/17	701592621	Buy DNDNQ DENDREON CORP Quantity of shares: 50,000 Average price: 0.0599	(3,020.00)	160,477.22	478,694.52
2/11	2/17	701592631	Buy DNDNQ DENDREON CORP Quantity of shares: 50,000 Average price: 0.0545	(2,750.00)	157,727.22	475,944.52
2/11	2/17	701592641	Buy DNDNQ DENDREON CORP Quantity of shares: 50,000 Average price: 0.0565	(2,850.00)	154,877.22	473,094.52
2/11	2/17	701592651	Buy DNDNQ DENDREON CORP Quantity of shares: 50,000 Average price: 0.0576	(2,903.13)	151,974.09	470,191.39
2/11	2/17	701592661	Buy DNDNQ DENDREON CORP Quantity of shares: 50,000 Average price: 0.0535	(2,700.00)	149,274.09	467,491.39
2/11	2/17	701592671	Buy DNDNQ DENDREON CORP Quantity of shares: 50,000 Average price: 0.0516	(2,606.25)	146,667.84	464,885.14
2/11	2/17	701592681	Buy DNDNQ DENDREON CORP Quantity of shares: 50,000 Average price: 0.0499	(2,520.98)	144,146.86	462,364.16
2/11	2/17	701592691	Buy DNDNQ DENDREON CORP Quantity of shares: 50,000 Average price: 0.0555	(2,798.75)	141,348.11	459,565.41
2/11	2/17	701592701	Buy DNDNQ DENDREON CORP Quantity of shares: 50,000 Average price: 0.0539	(2,721.62)	138,626.49	456,843.79
2/11	2/17	701592711	Buy DNDNQ DENDREON CORP Quantity of shares: 50,000 Average price: 0.0550	(2,775.00)	135,851.49	454,068.79
2/11	2/17	701592721	Buy DNDNQ DENDREON CORP Quantity of shares: 45,000 Average price: 0.0550	(2,500.00)	133,351.49	451,568.79
2/11	2/17	701592731	Buy FSL FREESCALE SEMICONDUCTOR LTD Quantity of shares: 2,000 Average price: 34.2477	(68,577.61)	64,773.88	382,991.18
2/11	2/17	701592741	Buy DNDNQ DENDREON CORP Quantity of shares: 50,000 Average price: 0.0496	(2,505.00)	62,268.88	380,486.18
2/11	2/17	701592751	Buy DNDNQ DENDREON CORP Quantity of shares: 50,000	(2,719.00)	59,549.88	377,767.18

SFC C.E number: AAC298



Customer number: 6696

Statement Date: February 28, 2015

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Transactions overview						
Trans- action date	Settle- ment date	Reference number	Item Details	Amount	Account balance	Balance on settlement date
2/11	2/17	701592761	Average price: 0.0539 Buy DNDNQ DENDREON CORP Quantity of shares: 50,000	(2,958.75)	56,591.13	374,808.43
2/11	2/17	701592771	Average price: 0.0587 Buy DNDNQ DENDREON CORP Quantity of shares: 50,000	(2,875.00)	53,716.13	371,933.43
2/11	2/17	701592781	Average price: 0.0570 Buy DNDNQ DENDREON CORP Quantity of shares: 50,000	(2,736.25)	50,979.88	369,197.18
2/11	2/17	701592791	Average price: 0.0542 Buy DNDNQ DENDREON CORP Quantity of shares: 50,000	(2,925.00)	48,054.88	366,272.18
2/11	2/17	701592801	Average price: 0.0580 Buy DNDNQ DENDREON CORP Quantity of shares: 5,000	(307.50)	47,747.38	365,964.68
2/12	2/18	702187771	Average price: 0.0565 Buy DNDNQ DENDREON CORP Quantity of shares: 50,000	(3,520.00)	(17,602.33)	362,444.68
2/12	2/18	702187781	Average price: 0.0699 Buy DNDNQ DENDREON CORP Quantity of shares: 50,000	(3,607.00)	(21,209.33)	358,837.68
2/12	2/18	702187791	Average price: 0.0716 Buy DNDNQ DENDREON CORP Quantity of shares: 50,000	(3,459.30)	(24,668.63)	355,378.38
2/12	2/18	702187801	Average price: 0.0687 Buy DNDNQ DENDREON CORP Quantity of shares: 50,000	(4,198.00)	(28,866.63)	351,180.38
2/12	2/18	702187811	Average price: 0.0835 Buy DNDNQ DENDREON CORP Quantity of shares: 50,000	(3,698.60)	(32,565.23)	347,481.78
2/12	2/18	702187821	Average price: 0.0735 Buy DNDNQ DENDREON CORP Quantity of shares: 50,000	(3,186.25)	(35,751.48)	344,295.53
2/12	2/18	702187831	Average price: 0.0632 Buy DNDNQ DENDREON CORP Quantity of shares: 50,000	(3,925.00)	(39,676.48)	340,370.53
2/12	2/18	702187841	Average price: 0.0780 Buy DNDNQ DENDREON CORP Quantity of shares: 50,000	(4,197.50)	(43,873.98)	336,173.03
2/12	2/18	702187851	Average price: 0.0835 Buy DNDNQ DENDREON CORP Quantity of shares: 50,000	(3,318.75)	(47,192.73)	332,854.28
2/12	2/18	702187861	Average price: 0.0659 Buy DNDNQ DENDREON CORP Quantity of shares: 50,000	(4,033.75)	(51,226.48)	328,820.53
2/12	2/18	702187871	Average price: 0.0802 Buy DNDNQ DENDREON CORP Quantity of shares: 50,000	(3,062.00)	(54,288.48)	325,758.53
2/12	2/18	702187881	Average price: 0.0607 Buy DNDNQ DENDREON CORP	(3,948.25)	(58,236.73)	321,810.28

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Customer number: 6696

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Transactions overview						
Trans- action date	Settle- ment date	Reference number	Item Details	Amount	Account balance	Balance on settlement date
			Quantity of shares: 50,000 Average price: 0.0785			
2/12	2/18	702187891	Buy DNDNQ DENDREON CORP Quantity of shares: 50,000 Average price: 0.0640	(3,225.00)	(61,461.73)	318,585.28
2/12	2/18	702187901	Buy DNDNQ DENDREON CORP Quantity of shares: 50,000 Average price: 0.0699	(3,520.00)	(64,981.73)	315,065.28
2/12	2/18	702187911	Buy DNDNQ DENDREON CORP Quantity of shares: 50,000 Average price: 0.0835	(4,200.00)	(69,181.73)	310,865.28
2/12	2/18	702187921	Buy DNDNQ DENDREON CORP Quantity of shares: 50,000 Average price: 0.0569	(2,868.13)	(72,049.86)	307,997.15
2/12	2/18	702187931	Buy DNDNQ DENDREON CORP Quantity of shares: 50,000 Average price: 0.0550	(2,775.00)	(74,824.86)	305,222.15
2/12	2/18	702187941	Buy DNDNQ DENDREON CORP Quantity of shares: 50,000 Average price: 0.0565	(2,849.75)	(77,674.61)	302,372.40
2/12	2/18	702187951	Buy DNDNQ DENDREON CORP Quantity of shares: 50,000 Average price: 0.0827	(4,162.38)	(81,836.99)	298,210.02
2/12	2/18	702187961	Buy DNDNQ DENDREON CORP Quantity of shares: 50,000 Average price: 0.0699	(3,520.00)	(85,356.99)	294,690.02
2/12	2/18	702187971	Buy DNDNQ DENDREON CORP Quantity of shares: 50,000 Average price: 0.0780	(3,924.00)	(89,280.99)	290,766.02
2/12	2/18	702187981	Buy DNDNQ DENDREON CORP Quantity of shares: 50,000 Average price: 0.0840	(4,225.00)	(93,505.99)	286,541.02
2/12	2/18	702187991	Buy DNDNQ DENDREON CORP Quantity of shares: 50,000 Average price: 0.0835	(4,198.70)	(97,704.69)	282,342.32
2/12	2/18	702188001	Buy DNDNQ DENDREON CORP Quantity of shares: 50,000 Average price: 0.0834	(4,195.50)	(101,900.19)	278,146.82
2/12	2/18	702188011	Buy DNDNQ DENDREON CORP Quantity of shares: 50,000 Average price: 0.0644	(3,245.50)	(105,145.69)	274,901.32
2/12	2/18	702188031	Buy DNDNQ DENDREON CORP Quantity of shares: 50,000 Average price: 0.0831	(4,178.00)	(109,323.69)	270,723.32
2/12	2/18	702188041	Buy DNDNQ DENDREON CORP Quantity of shares: 50,000 Average price: 0.0819	(4,119.00)	(113,442.69)	266,604.32
2/12	2/18	702188021	Sell SYF SYNCHRONY FINANCIAL Quantity of shares: 2,000 Average price: 33.6823	67,283.78	(46,158.91)	333,888.10

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Transactions overview						
Trans-action date	Settle-ment date	Reference number	Item Details	Amount	Account balance	Balance on settlement date
2/18	2/18	704623560	Foreign currency conversion, exchange rate: 7.7635	127,519.80	81,360.89	461,407.90
2/13	2/19	702950701	Buy DNDNQ DENDREON CORP Quantity of shares: 50,000 Average price: 0.0949	(4,770.00)	151,171.01	456,637.90
2/13	2/19	702950711	Buy DNDNQ DENDREON CORP Quantity of shares: 50,000 Average price: 0.0854	(4,296.25)	146,874.76	452,341.65
2/13	2/19	702950721	Buy DNDNQ DENDREON CORP Quantity of shares: 50,000 Average price: 0.0884	(4,442.50)	142,432.26	447,899.15
2/13	2/19	702950731	Buy DNDNQ DENDREON CORP Quantity of shares: 50,000 Average price: 0.0949	(4,770.00)	137,662.26	443,129.15
2/13	2/19	702950741	Buy DNDNQ DENDREON CORP Quantity of shares: 50,000 Average price: 0.0870	(4,375.00)	133,287.26	438,754.15
2/13	2/19	702950751	Buy DNDNQ DENDREON CORP Quantity of shares: 50,000 Average price: 0.0934	(4,697.03)	128,590.23	434,057.12
2/13	2/19	702950761	Buy DNDNQ DENDREON CORP Quantity of shares: 50,000 Average price: 0.0938	(4,715.00)	123,875.23	429,342.12
2/13	2/19	702950771	Buy DNDNQ DENDREON CORP Quantity of shares: 50,000 Average price: 0.0945	(4,750.00)	119,125.23	424,592.12
2/13	2/19	702950781	Buy DNDNQ DENDREON CORP Quantity of shares: 50,000 Average price: 0.0949	(4,770.00)	114,355.23	419,822.12
2/13	2/19	702950791	Buy DNDNQ DENDREON CORP Quantity of shares: 50,000 Average price: 0.0925	(4,650.00)	109,705.23	415,172.12
2/13	2/19	702950801	Buy DNDNQ DENDREON CORP Quantity of shares: 50,000 Average price: 0.0948	(4,765.00)	104,940.23	410,407.12
2/13	2/19	702950811	Buy DNDNQ DENDREON CORP Quantity of shares: 50,000 Average price: 0.0929	(4,671.96)	100,268.27	405,735.16
2/13	2/19	702950831	Buy DNDNQ DENDREON CORP Quantity of shares: 50,000 Average price: 0.0947	(4,760.00)	95,508.27	400,975.16
2/13	2/19	702950841	Buy DNDNQ DENDREON CORP Quantity of shares: 50,000 Average price: 0.0926	(4,656.00)	90,852.27	396,319.16
2/13	2/19	702950851	Buy DNDNQ DENDREON CORP Quantity of shares: 50,000 Average price: 0.0947	(4,760.00)	86,092.27	391,559.16
2/13	2/19	702950861	Buy DNDNQ DENDREON CORP Quantity of shares: 50,000 Average price: 0.0950	(4,775.00)	81,317.27	386,784.16
2/13	2/19	702950871	Buy DNDNQ DENDREON CORP	(4,848.25)	76,469.02	381,935.91



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Transactions overview						
Trans- action date	Settle- ment date	Reference number	Item Details	Amount	Account balance	Balance on settlement date
			Quantity of shares: 50,000 Average price: 0.0965			
2/13	2/19	702950881	Buy DNDNQ DENDREON CORP Quantity of shares: 50,000 Average price: 0.0950	(4,775.00)	71,694.02	377,160.91
2/13	2/19	702950891	Buy DNDNQ DENDREON CORP Quantity of shares: 50,000 Average price: 0.0949	(4,768.25)	66,925.77	372,392.66
2/13	2/19	702950901	Buy DNDNQ DENDREON CORP Quantity of shares: 50,000 Average price: 0.0948	(4,765.50)	62,160.27	367,627.16
2/13	2/19	702950921	Buy DNDNQ DENDREON CORP Quantity of shares: 50,000 Average price: 0.0886	(4,456.08)	57,704.19	363,171.08
2/13	2/19	702950931	Buy DNDNQ DENDREON CORP Quantity of shares: 50,000 Average price: 0.0960	(4,822.85)	52,881.34	358,348.23
2/13	2/19	702950941	Buy DNDNQ DENDREON CORP Quantity of shares: 50,000 Average price: 0.0935	(4,700.00)	48,181.34	353,648.23
2/13	2/19	702950951	Buy DNDNQ DENDREON CORP Quantity of shares: 50,000 Average price: 0.0949	(4,768.00)	43,413.34	348,880.23
2/13	2/19	702950961	Buy DNDNQ DENDREON CORP Quantity of shares: 50,000 Average price: 0.0980	(4,925.00)	38,488.34	343,955.23
2/13	2/19	702950971	Buy DNDNQ DENDREON CORP Quantity of shares: 50,000 Average price: 0.0980	(4,925.00)	33,563.34	339,030.23
2/13	2/19	702950981	Buy DNDNQ DENDREON CORP Quantity of shares: 50,000 Average price: 0.0939	(4,720.00)	28,843.34	334,310.23
2/13	2/19	702950991	Buy DNDNQ DENDREON CORP Quantity of shares: 50,000 Average price: 0.0926	(4,652.50)	24,190.84	329,657.73
2/13	2/19	702951001	Buy DNDNQ DENDREON CORP Quantity of shares: 50,000 Average price: 0.0938	(4,715.00)	19,475.84	324,942.73
2/13	2/19	702951011	Buy DNDNQ DENDREON CORP Quantity of shares: 50,000 Average price: 0.0965	(4,850.87)	14,624.97	320,091.86
2/13	2/19	702951021	Buy DNDNQ DENDREON CORP Quantity of shares: 50,000 Average price: 0.0955	(4,800.00)	9,824.97	315,291.86
2/13	2/19	702951031	Buy DNDNQ DENDREON CORP Quantity of shares: 50,000 Average price: 0.0954	(4,795.00)	5,029.97	310,496.86
2/13	2/19	702951041	Buy DNDNQ DENDREON CORP Quantity of shares: 50,000 Average price: 0.0950	(4,773.19)	256.78	305,723.67

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Transactions overview						
Trans- action date	Settle- ment date	Reference number	Item Details	Amount	Account balance	Balance on settlement date
2/13	2/19	702951051	Buy DNDNQ DENDREON CORP Quantity of shares: 50,000 Average price: 0.0921	(4,627.75)	(4,370.97)	301,095.92
2/13	2/19	702951061	Buy DNDNQ DENDREON CORP Quantity of shares: 50,000 Average price: 0.0960	(4,825.00)	(9,195.97)	296,270.92
2/13	2/19	702951071	Buy DNDNQ DENDREON CORP Quantity of shares: 50,000 Average price: 0.0949	(4,770.00)	(13,965.97)	291,500.92
2/13	2/19	702951081	Buy DNDNQ DENDREON CORP Quantity of shares: 50,000 Average price: 0.0947	(4,760.00)	(18,725.97)	286,740.92
2/13	2/19	702951091	Buy DNDNQ DENDREON CORP Quantity of shares: 50,000 Average price: 0.0898	(4,513.00)	(23,238.97)	282,227.92
2/13	2/19	702951101	Buy DNDNQ DENDREON CORP Quantity of shares: 50,000 Average price: 0.0949	(4,770.00)	(28,008.97)	277,457.92
2/13	2/19	702951111	Buy DNDNQ DENDREON CORP Quantity of shares: 50,000 Average price: 0.0947	(4,760.00)	(32,768.97)	272,697.92
2/13	2/19	702951121	Buy DNDNQ DENDREON CORP Quantity of shares: 50,000 Average price: 0.0947	(4,760.00)	(37,528.97)	267,937.92
2/13	2/19	702951131	Buy DNDNQ DENDREON CORP Quantity of shares: 50,000 Average price: 0.0969	(4,868.00)	(42,396.97)	263,069.92
2/13	2/19	702951141	Buy DNDNQ DENDREON CORP Quantity of shares: 50,000 Average price: 0.0949	(4,769.00)	(47,165.97)	258,300.92
2/13	2/19	702951151	Buy DNDNQ DENDREON CORP Quantity of shares: 50,000 Average price: 0.0940	(4,725.00)	(51,890.97)	253,575.92
2/13	2/19	702951161	Buy DNDNQ DENDREON CORP Quantity of shares: 50,000 Average price: 0.0950	(4,775.00)	(56,665.97)	248,800.92
2/13	2/19	702950821	Sell SYF SYNCHRONY FINANCIAL Quantity of shares: 1,000 Average price: 33.3048	33,264.85	(23,401.12)	282,065.77
2/13	2/19	702950911	Sell SYF SYNCHRONY FINANCIAL Quantity of shares: 1,000 Average price: 33.3845	33,344.46	9,943.34	315,410.23
2/17	2/20	704529051	Buy ALTR ALTERA CORP Quantity of shares: 2,000 Average price: 35.6058	(71,297.10)	78,789.29	244,113.13
2/17	2/20	704529061	Buy ALTR ALTERA CORP Quantity of shares: 2,000 Average price: 35.6160	(71,317.43)	7,471.86	172,795.70
2/17	2/20	704529071	Buy DNDNQ DENDREON CORP Quantity of shares: 50,000	(4,225.00)	3,246.86	168,570.70

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 中銀國際 證券有限公司  
BOCI Securities Limited

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Transactions overview						
Trans- action date	Settle- ment date	Reference number	Item Details	Amount	Account balance	Balance on settlement date
2/17	2/20	704529081	Average price: 0.0840 Buy DNDNQ DENDREON CORP Quantity of shares: 50,000 Average price: 0.0860	(4,325.00)	(1,078.14)	164,245.70
2/17	2/20	704529091	Buy ALTR ALTERA CORP Quantity of shares: 1,500 Average price: 35.6163	(53,488.57)	(54,566.71)	110,757.13
2/17	2/20	704529041	Sell SYF SYNCHRONY FINANCIAL Quantity of shares: 2,000 Average price: 32.2938	64,510.05	9,943.34	175,267.18
2/18	2/23	704917551	Buy ALTR ALTERA CORP Quantity of shares: 2,500 Average price: 36.0062	(90,123.52)	(93,881.78)	85,143.66
2/18	2/23	704917561	Buy DNDNQ DENDREON CORP Quantity of shares: 50,000 Average price: 0.0752	(3,782.77)	(97,664.55)	81,360.89
2/19	2/24	704988151	Buy ALTR ALTERA CORP Quantity of shares: 2,000 Average price: 35.6660	(71,417.55)	12,299.77	9,943.34
2/23	2/26	705728761	Buy ALTR ALTERA CORP Quantity of shares: 3,000 Average price: 35.8263	(107,607.89)	(96,846.78)	(97,664.55)
2/24	2/27	706480771	Sell FSL FREESCALE SEMICONDUCTOR LTD Quantity of shares: 3,000 Average price: 36.3000	108,769.32	(94,656.78)	11,104.77
2/24	2/27	706480781	Sell DNDNQ DENDREON CORP Quantity of shares: 20,000 Average price: 0.0610	1,195.00	(93,461.78)	12,299.77
2/27	2/27	708110960	Foreign currency conversion, exchange rate: 7.7605	33,831.45	(59,630.33)	46,131.22
2/27	2/27	708125840	Foreign currency conversion, exchange rate: 6.2965	45,872.79	(13,757.54)	92,004.01
2/28	2/28	695151021	Interest payable	(8.82)	(13,766.36)	91,995.19
2/28	2/28	695151021	Interest receivable	1.63	(13,764.73)	91,996.82
2/28	2/28		Carryover balance :-		(13,764.73)	91,996.82

Pending Transactions				
Trans- action date	Settle- ment date	Reference number	Item Details	Amount
US Dollar (USD)				
2/25	3/2	707117761	Buy ALTR ALTERA CORP Quantity of shares: 2,000 Average price: 36.6068	(73,301.36)
2/25	3/2	707117811	Buy ALTR ALTERA CORP Quantity of shares: 2,000 Average price: 36.6233	(73,334.40)
2/25	3/2	707117781	Sell DNDNQ DENDREON CORP Quantity of shares: 20,000 Average price: 0.0585	1,145.00



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Pending Transactions				
Trans- action date	Settle- ment date	Reference number	Item Details	Amount
2/25	3/2	707117791	Sell DNDNQ DENDREON CORP Quantity of shares: 20,000 Average price: 0.0660	1,295.00
2/25	3/2	707117801	Sell INTC INTEL CORP Quantity of shares: 1,000 Average price: 33.9950	33,954.21
2/25	3/2	707117821	Sell DNDNQ DENDREON CORP Quantity of shares: 20,000 Average price: 0.0560	1,095.00
2/27	3/4	708751441	Sell DNDNQ DENDREON CORP Quantity of shares: 20,000 Average price: 0.0575	1,125.00
2/27	3/4	708751451	Sell DNDNQ DENDREON CORP Quantity of shares: 20,000 Average price: 0.0580	1,135.00
2/27	3/4	708751461	Sell DNDNQ DENDREON CORP Quantity of shares: 20,000 Average price: 0.0575	1,125.00
Pending Transaction Total (105,761.55)				

Securities Custody Summary								
Stock Code/name	BOM Holdings	Current month stock credit/debit	# of pending shares	# of temp. unavail- able shares	EOM Holdings	Closing price	Aggregate market value	(Margin rate) Margin balance
Hong Kong Stock Market (HKD)								
00342	NewOcean Energy Holdings Limited 100,000	0	0	0	100,000	2.780000	278,000.00	(20) 55,600.00
01816	China General Nuclear Power Group -- H-shares 81,000	(81,000)	0	0	0	3.130000	0.00	(70) 0.00
Shanghai Stock Market A Share Index (CNY)								
600000	Shanghai Pudong Development Bank 250,000	(120,000)	0	0	130,000	14.530000	1,888,900.00	(50) 944,450.00
U.S. Stock Market (USD)								
ALTR	ALTERA CORP 0	13,000	4,000	0	17,000	37.010000	629,170.00	(60) 377,502.00
DNDNQ	DENDREON CORP 0	4,880,000	(120,000)	0	4,760,000	0.056000	266,560.00	(0) 0.00
FSL	FREESCALE SEMICONDUCTOR LTD 2,000	3,000	0	0	5,000	36.110000	180,550.00	(0) 0.00
INTC	INTEL CORP 5,000	0	(1,000)	0	4,000	33.250000	133,000.00	(70) 93,100.00
MU	MICRON TECHNOLOGY INC 2,000	(2,000)	0	0	0	30.670000	0.00	(60) 0.00
Total (equivalent of HKD)							11,990,118.10	4,872,127.34

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Interest summary			
Currency	Date	Interest receivable	Interest payable
RMB (CNY)		Interest rate:	Interest rate:
	2/1-2/5	0.250000%	11.500000%
	2/6-2/15	0.250000%	13.000000%
	2/16-2/28	0.250000%	11.500000%
Hong Kong Dollar (HKD)		Interest rate:	Interest rate:
	2/1-2/28	0.010000%	5.000000%
US Dollar (USD)		Interest rate:	Interest rate:
	2/1-2/28	0.010000%	3.250000%

Account overview	
RMB (CNY)	
Cash balance (Including carryover balance and pending transactions net amount)	65.00
Market value of securities	1,888,900.00
Account net value	1,888,965.00
	Exchange rate: equivalent of HKD 1.235400
	2,333,627.36
Hong Kong Dollar (HKD)	
Cash balance (Including carryover balance and pending transactions net amount)	2.24
Market value of securities	278,000.00
Account net value	278,002.24
US Dollar (USD)	
Cash balance (Including carryover balance and pending transactions net amount)	(13,764.73)
Market value of securities	1,209,280.00
Account net value	1,195,515.27
	Exchange rate: equivalent of HKD 7.755500
	9,271,818.68
Summary of all accounts: HKD equivalent	
Cash balance (Including carryover balance and pending transactions net amount)	(106,669.82)
Market value of securities	11,990,118.10
Account net value	11,883,448.28
Available margin value (securities margin value/line of credit (whichever is lesser))	4,000,000.00
Line of Credit (valid until 1/31/16)	4,000,000.00
Available balance (margin call amount) (includes cash balance and securities margin value/line of credit (whichever is lesser))	3,893,330.18

## Special Notes

01. You can look up the latest service fees on our website ([www.bocionline.com](http://www.bocionline.com)). If you cannot successfully access our webpage to look up the latest fee chart, please contact your customer service manager.
02. The currency exchange rates listed in this statement are for reference only and should not be used for the actual holding value in the final settlement statement.
03. For better service, you can download daily statements or monthly statements from [www.bocionline.com](http://www.bocionline.com). For instructions on how to download statements, please go to [http://www.bocionline.com/ch/home/products\\_and\\_services.htm](http://www.bocionline.com/ch/home/products_and_services.htm) and select "Customer Service Platform".
04. If you wish to ask a question about this statement or about downloading statements, you can contact your customer service manager, call the 24-hour service line at (852) 2121-0088, or send an email to [service@bocigroup.com](mailto:service@bocigroup.com).
05. As of November 3, 2012, to better protect customer assets, the online withdrawal service platform of BOCI Securities Limited can only take withdrawal order, and remittance is to be made to a Hong Kong bank account that you have preregistered. If you wish to register a bank account for the purposes of withdrawals, please contact your customer service manager or call 852-2121-0088 during business hours.

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**Special Notes**

06. The service fees for transactions on the securities markets of Singapore, Japan, the United Kingdom, Germany, France, Switzerland, and the Netherlands are to be adjusted starting on November 5, 2012. Please refer to the website for more details.

07. Starting from December 1, 2012, the Australian stock market will add the following two brokerage service fees: (1) 60 Australian Dollar (AUD) per request for shareholders' anniversary or special meeting agenda; (2) 60 Australian Dollar (AUD) per request to entrust agent to vote or attend shareholder's meeting.

08. Starting from May 2, 2014, clearance fee for the Singapore securities transaction will be decreased from 0.04% to 0.0325%. The 600 Singapore Dollar (SGD) upper limit of this fee will also be eliminated at that time. Please note that the effective date for adjustment in clearance fee for Singapore securities transaction has been postponed to June 1, 2014.

09. Corporate action – treatment of odd lots. Regarding corporate action of some listed stocks in the US, Canada and Europe, shareholders who are allocated odd lots may receive cash (i.e. net amount of receipts in selling odd lots) instead of odd lots shares. Please note the corresponding cash amount will be recorded in the accounts of shareholders concerned after we sell the odd lots at market value, and the payment may occur later than the date of payment from actual corporate action.

10. BOCI Securities Limited will terminate its online fund trading services on February 21, 2014 ("termination date.") After the termination date, customers will be able to have their customer service managers/investment service brokers process fund trading requests.

11. Service fees for paperless stock deposit of some foreign stock markets will be adjusted downward on August 1, 2014. For details, please refer to our "Latest News" webpage.

12. BOCI Securities Limited will stop charging the SGX trading system fee of the Singapore stock market on July 28, 2014.

13. Notes: (1) "Northbound transactions" using the Shanghai-Hong Kong Stock Connect trading mechanism will follow the settlement cycle of the Shanghai Stock Exchange A-share market, with stock settlement on T date, and money settlement on T+1 day. The settlement date for "northbound transactions" as listed in the "Transactions Overview" of this statement will show money settlement, while stock settlement will have already occurred on the transaction date and will be reflected as such in the securities custody summary. (2) The fees and taxes (if any) listed in this statement that are related to "northbound transactions" have been and will be submitted to the China Securities Depository and Clearing Company Limited (CSDCC) by Hong Kong Exchanges and Clearing Ltd. (HKEx) for further processing.

14. According to the notice issued by HKEx, Shanghai-Hong Kong Stock Connect will be rolled out on November 17, 2014. Before trading stocks on Shanghai-Hong Kong Stock Connect, customers should carefully read the supplementary terms for Shanghai-Hong Kong Stock Connect on our website (www.bocionline.com). Anytime you place an order to BOCI Securities via Shanghai-Hong Kong Stock Connect or engage in Shanghai-Hong Kong Stock Connect trading in other format, the supplementary terms are regarded as applicable to such order or trading.

15. In response to market changes, the annual percentage yield of Swiss francs deposited with our company will be adjusted to -1% from February 1, 2015 on. If you have any questions about this, please contact your customer service manager or call our customer service line at (852) 2718-9666.

Special reminder: Starting on March 1, 2013, except for any other clear specification, clients' trading through BOCI Securities of all stock issued, financial tools participated, and equity investment securities with de-facto ownership assumed, by resident branches of Italian companies (e.g., Prada (stock code: 01913.hk)) is subject to Italian financial transaction taxation.

For transactions concerned that occurred between March 1, 2013 and April 30, 2013, the Italian financial transaction tax will be deducted from the customer's account on May 3, 2013. For transactions concerned that occurred from May 1, 2013 onward, we will deduct the related Italian financial transaction tax from the customer's account on the settlement day of the transaction. For more details, please see our website at www.bocionline.com.

\*\* "CPN capital gains tax" refers to the withholding tax on anticipated capital gains deducted by the issuer according to the "CPN Product Terms and Conditions."

- END -

**About your statement**

If this bank does not receive any objection in writing from you within 48 hours after the statement issuance date, then all records included in this statement will be regarded as accurate and error-free. This statement is compiled and printed by computer and does not require any signature. The closing prices and market values listed in this statement are for reference only and should not be used for the actual holding value in the final settlement statement.

In view of the credit services granted to your account, the securities your account deposits with BOCI Securities will be treated as collateral.

The financing expiration date is January 31, 2016.

This statement also serves as depository and/or delivery receipts.

For your securities lending transactions with BOCI Securities (if applicable), please refer to the securities lending settlement statement that's mailed to you separately.

**Risk Disclosure Statement for Bond Transactions**

The following risk disclosure statement may not disclose all the risks concerned. You must take responsibility for collecting and studying information on your own before entering any transaction or investment. You should carefully consider whether or not the trading or investment is suitable for your own financial situation and investment goals. We recommend that you seek independent financial and professional advice before entering any transaction or investment.

Investment always involves risks. Bond prices can and will fluctuate. The price of any bond may rise or fall or even become worthless. There are inherent risks to buying and selling bonds, so there is no guarantee that it will make a profit, while it may in fact lead to a loss. Similarly, there are inherent risks to buying and selling debt securities, so there is no guarantee that it will make a profit, while it may in fact lead to a loss. Bond holders must bear the credit risk of the issuer and cannot make any claims for compensation against BOCI Securities Limited.

SFC C.E number: AAC298



## 账户月结单

HUNG CHIN

WANCHAI HONG KONG

结单日期: 2015年03月31日

客户编号: 6696

户口类别: 孖展户

经纪编号: AE611

页数: 1/9

帐户名称: 熊战

交易总览						
交易日期	交收日期	参考编号	事项细节	金额	账面结余	结算日结余
<b>人民币 (CNY)</b>						
28/02	28/02		承前结余:-		65.00	65.00
11/03	12/03	717110921	卖出 600000 浦发银行 股数 130,000 平均价 14.3346	1,858,596.28	1,858,661.28	1,858,661.28
12/03	12/03	718092040	外汇外币转换 兑换價 6.2788	(1,858,661.28)	0.00	0.00
31/03	31/03		转后结余:-		0.00	0.00
<b>港币 (HKD)</b>						
28/02	28/02		承前结余:-		2.24	2.24
03/03	03/03	71195882	进/支 : CHARGE OF STREAMING QUOTE FEE - (200.00)	(200.00)	(197.76)	(197.76)
04/03	04/03	71242728	进/支 : 征费项目 SH A SHARES PORTFOLIO FEE FOR FEB 2015 - (20.61)	(20.61)	(218.37)	(218.37)
04/03	04/03	712514010	外汇外币转换 兑换價 7.75	220.00	1.63	1.63
13/03	13/03	718906601	存款現金存入 - BOC HK	500,000.00	500,001.63	500,001.63
13/03	13/03	718973790	外汇外币转换 兑换價 7.771	(500,001.00)	0.63	0.63
16/03	16/03	719898271	存款現金存入 - BOC HK	500,000.00	500,000.63	500,000.63
17/03	17/03	721321450	外汇外币转换 兑换價 7.7695	(500,000.00)	0.63	0.63
18/03	20/03	722319401	卖出 00342 新海能源集团有限公司 股数 100,000 平均价 3.0200	301,070.75	301,071.38	301,071.38
26/03	26/03	728615830	外汇外币转换 兑换價 7.76	(300,000.00)	1,071.38	1,071.38
31/03	31/03	709382811	应付利息	(0.03)	1,071.35	1,071.35
31/03	31/03	709382811	应收利息	0.62	1,071.97	1,071.97
31/03	31/03		转后结余:-		1,071.97	1,071.97
<b>美元 (USD)</b>						
28/02	28/02		承前结余:-		(13,764.73)	91,996.82
25/02	02/03	707117761	买入 ALTR ALTERA CORP 股数 2,000	(73,301.36)	220,342.26	18,695.46

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SFC C.E number: AAC298



客戶編號: 6696

結單日期: 2015年03月31日

頁數: 2/9

交易總覽						
交易日期	交收日期	參考編號	事項細節	金額	賬面結余	結算日結余
			平均價 36.6068			
25/02	02/03	707117811	買入 ALTR ALTERA CORP 股數 2,000	(73,334.40)	147,007.86	(54,638.94)
			平均價 36.6233			
25/02	02/03	707117781	賣出 DNDNQ DENDREON CORP 股數 20,000	1,145.00	148,152.86	(53,493.94)
			平均價 0.0585			
25/02	02/03	707117791	賣出 DNDNQ DENDREON CORP 股數 20,000	1,295.00	149,447.86	(52,198.94)
			平均價 0.0660			
25/02	02/03	707117801	賣出 INTC INTEL CORP 股數 1,000	33,954.21	183,402.07	(18,244.73)
			平均價 33.9950			
25/02	02/03	707117821	賣出 DNDNQ DENDREON CORP 股數 20,000	1,095.00	184,497.07	(17,149.73)
			平均價 0.0560			
03/03	02/03	80730	進/支: INTC INTEL CORP 現金股息 -美金0.24/SHR R/D 07-02-2015 - 1,200.00 US DIVIDEND COLLECTION CHARGE - (2.00) Withholding Tax Payable - (360.00) 交易返回前結算日: 02/03/2015	838.00	(161,948.18)	(16,311.73)
27/02	04/03	708751441	賣出 DNDNQ DENDREON CORP 股數 20,000	1,125.00	(329,300.38)	(15,186.73)
			平均價 0.0575			
27/02	04/03	708751451	賣出 DNDNQ DENDREON CORP 股數 20,000	1,135.00	(328,165.38)	(14,051.73)
			平均價 0.0580			
27/02	04/03	708751461	賣出 DNDNQ DENDREON CORP 股數 20,000	1,125.00	(327,040.38)	(12,926.73)
			平均價 0.0575			
04/03	04/03	712514010	外匯外幣轉換 兌換價 7.75	(28.39)	(327,068.77)	(12,955.12)
02/03	05/03	710832751	賣出 FSL FREESCALE SEMICONDUCTOR LTD 股數 5,000	198,261.80	(321,593.77)	185,306.68
			平均價 39.7000			
03/03	06/03	712329911	買入 ALTR ALTERA CORP 股數 5,000	(183,793.29)	(372,632.94)	1,513.39
			平均價 36.7146			
03/03	06/03	712329921	買入 ALTR ALTERA CORP 股數 5,000	(183,684.16)	(556,317.10)	(182,170.77)
			平均價 36.6928			
03/03	06/03	712329891	賣出 DNDNQ DENDREON CORP 股數 100,000	5,575.00	(550,742.10)	(176,595.77)
			平均價 0.0560			
03/03	06/03	712329901	賣出 DNDNQ DENDREON CORP 股數 100,000	5,575.00	(545,167.10)	(171,020.77)
			平均價 0.0560			
03/03	06/03	712329931	賣出 DNDNQ DENDREON CORP 股數 60,000	3,369.20	(541,797.90)	(167,651.57)
			平均價 0.0566			

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SFC C.E number: AAC298



客戶編號: 6696

結單日期: 2015年03月31日

頁數: 3/9

交易總覽						
交易日期	交收日期	參考編號	事項細節	金額	賬面結余	結算日結余
03/03	06/03	712329941	賣出 DNDNQ DENDREON CORP 股數 100,000 平均價 0.0570	5,675.00	(536,122.90)	(161,976.57)
04/03	09/03	713220711	買入 ALTR ALTERA CORP 股數 5,000 平均價 36.2000	(181,217.20)	(623,881.26)	(343,193.77)
04/03	09/03	713220691	賣出 DNDNQ DENDREON CORP 股數 100,000 平均價 0.0540	5,375.00	(618,506.26)	(337,818.77)
04/03	09/03	713220701	賣出 DNDNQ DENDREON CORP 股數 100,000 平均價 0.0540	5,375.00	(613,131.26)	(332,443.77)
04/03	09/03	713220721	賣出 DNDNQ DENDREON CORP 股數 100,000 平均價 0.0540	5,375.00	(607,756.26)	(327,068.77)
05/03	10/03	714196021	賣出 DNDNQ DENDREON CORP 股數 100,000 平均價 0.0550	5,475.00	(656,810.84)	(321,593.77)
06/03	11/03	714995031	買入 ALTR ALTERA CORP 股數 3,000 平均價 35.5240	(106,699.89)	(548,981.60)	(428,293.66)
06/03	11/03	714995041	買入 ALTR ALTERA CORP 股數 3,000 平均價 35.9000	(107,829.24)	(656,810.84)	(536,122.90)
09/03	12/03	715865651	買入 ALTR ALTERA CORP 股數 2,000 平均價 35.7738	(71,633.36)	(827,701.25)	(607,756.26)
12/03	12/03	718092040	外匯外幣轉換 兌換價 6.2788	296,021.74	(531,679.51)	(311,734.52)
10/03	13/03	716694851	買入 ALTR ALTERA CORP 股數 5,000 平均價 35.3338	(176,881.00)	(825,564.73)	(488,615.52)
10/03	13/03	716694841	賣出 INTC INTEL CORP 股數 4,000 平均價 31.9950	127,826.42	(697,738.31)	(360,789.10)
13/03	13/03	718973790	外匯外幣轉換 兌換價 7.771	64,341.91	(633,396.40)	(296,447.19)
12/03	17/03	718764941	買入 ALTR ALTERA CORP 股數 5,000 平均價 34.9851	(175,135.41)	(801,573.40)	(471,582.60)
12/03	17/03	718764931	賣出 DNDNQ DENDREON CORP 股數 100,000 平均價 0.0427	4,245.00	(797,328.40)	(467,337.60)
17/03	17/03	721321450	外匯外幣轉換 兌換價 7.7695	64,354.21	(732,974.19)	(402,983.39)
13/03	18/03	719625661	買入 ALTR ALTERA CORP 股數 5,000 平均價 34.8000	(174,208.80)	(741,124.19)	(577,192.19)
13/03	18/03	719625671	賣出 DNDNQ DENDREON CORP 股數 100,000 平均價 0.0410	4,075.00	(737,049.19)	(573,117.19)
13/03	18/03	719625681	賣出 DNDNQ DENDREON CORP 股數 100,000	4,075.00	(732,974.19)	(569,042.19)

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SFC C.E number: AAC298



客戶編號: 6696

結單日期: 2015年03月31日

頁數: 4/9

交易總覽						
交易日期	交收日期	參考編號	事項細節	金額	賬面結余	結算日結余
			平均价 0.0410			
16/03	19/03	720553341	买入 ALTR ALTERA CORP 股数 2,000	(71,018.12)	(738,720.19)	(640,060.31)
			平均价 35.4665			
16/03	19/03	720553331	卖出 DNDNQ DENDREON CORP 股数 100,000	4,475.00	(734,245.19)	(635,585.31)
			平均价 0.0450			
16/03	19/03	720553351	卖出 DNDNQ DENDREON CORP 股数 28,800	1,271.00	(732,974.19)	(634,314.31)
			平均价 0.0450			
17/03	20/03	722056991	买入 ALTR ALTERA CORP 股数 3,000	(105,857.88)	(739,397.19)	(740,172.19)
			平均价 35.2437			
17/03	20/03	722056981	卖出 DNDNQ DENDREON CORP 股数 100,000	4,375.00	(735,022.19)	(735,797.19)
			平均价 0.0440			
17/03	20/03	722057011	卖出 DNDNQ DENDREON CORP 股数 71,200	2,823.00	(732,199.19)	(732,974.19)
			平均价 0.0400			
20/03	25/03	725469621	卖出 DNDNQ DENDREON CORP 股数 20,000	775.00	(904,646.72)	(732,199.19)
			平均价 0.0400			
23/03	26/03	726681621	买入 ALTR ALTERA CORP 股数 2,000	(73,395.47)	(919,371.43)	(805,594.66)
			平均价 36.6538			
23/03	26/03	726681681	买入 ALTR ALTERA CORP 股数 2,000	(73,260.31)	(992,631.74)	(878,854.97)
			平均价 36.5863			
23/03	26/03	726681631	卖出 DNDNQ DENDREON CORP 股数 100,000	3,975.00	(988,656.74)	(874,879.97)
			平均价 0.0400			
23/03	26/03	726681641	卖出 DNDNQ DENDREON CORP 股数 100,000	3,979.50	(984,677.24)	(870,900.47)
			平均价 0.0400			
23/03	26/03	726681651	卖出 DNDNQ DENDREON CORP 股数 100,000	3,975.00	(980,702.24)	(866,925.47)
			平均价 0.0400			
23/03	26/03	726681661	卖出 DNDNQ DENDREON CORP 股数 100,000	4,075.00	(976,627.24)	(862,850.47)
			平均价 0.0410			
23/03	26/03	726681671	卖出 DNDNQ DENDREON CORP 股数 100,000	4,275.00	(972,352.24)	(858,575.47)
			平均价 0.0430			
23/03	26/03	726681701	卖出 DNDNQ DENDREON CORP 股数 100,000	4,175.00	(968,177.24)	(854,400.47)
			平均价 0.0420			
26/03	26/03	728615830	外汇外币转换 兌換價 7.76	38,659.79	(929,517.45)	(815,740.68)
24/03	27/03	727673111	卖出 DNDNQ DENDREON CORP 股数 100,000	4,075.00	(983,415.19)	(811,665.68)
			平均价 0.0410			

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SFC C.E number: AAC298



客戶編號: 6696

結單日期: 2015年03月31日

頁數: 5/9

交易日期	交收日期	參考編號	事項細節	金額	賬面結余	結算日結余
24/03	27/03	727673131	賣出 DNDNQ DENDREON CORP 股數 100,000 平均價 0.0401	3,980.00	(979,435.19)	(807,685.68)
24/03	27/03	727673141	賣出 DNDNQ DENDREON CORP 股數 100,000 平均價 0.0407	4,040.50	(975,394.69)	(803,645.18)
25/03	30/03	728562341	買入 ALTR ALTERA CORP 股數 2,000 平均價 35.1038	(70,291.75)	(1,190,008.25)	(873,936.93)
25/03	30/03	728562331	賣出 DNDNQ DENDREON CORP 股數 100,000 平均價 0.0400	3,975.00	(1,186,033.25)	(869,961.93)
25/03	30/03	728562351	賣出 DNDNQ DENDREON CORP 股數 100,000 平均價 0.0400	3,975.00	(1,182,058.25)	(865,986.93)
26/03	31/03	729457351	買入 ALTR ALTERA CORP 股數 1,000 平均價 34.7070	(34,748.65)	(1,192,147.73)	(900,735.58)
26/03	31/03	729457361	買入 ALTR ALTERA CORP 股數 1,000 平均價 34.7230	(34,764.67)	(1,226,912.40)	(935,500.25)
26/03	31/03	729457371	賣出 DNDNQ DENDREON CORP 股數 80,000 平均價 0.0400	3,175.00	(1,223,737.40)	(932,325.25)
26/03	31/03	729457381	賣出 DNDNQ DENDREON CORP 股數 70,820 平均價 0.0400	2,807.80	(1,220,929.60)	(929,517.45)
31/03	31/03	709382861	應付利息	(1,285.45)	(1,222,215.05)	(930,802.90)
31/03	31/03	709382861	應收利息	0.08	(1,222,214.97)	(930,802.82)
31/03	31/03		轉後結余:-		(1,222,214.97)	(930,802.82)

待结算交易					
交易日期	交收日期	参考编号	事项细节	金额	
美元 (USD)					
27/03	01/04	730368031	买入 ALTR ALTERA CORP 股数 2,000 平均价 34.6748	(69,432.72)	
27/03	01/04	730367991	卖出 DNDNQ DENDREON CORP 股数 100,000 平均价 0.0400	3,975.00	
27/03	01/04	730368001	卖出 DNDNQ DENDREON CORP 股数 100,000 平均价 0.0400	3,975.00	
27/03	01/04	730368011	卖出 DNDNQ DENDREON CORP 股数 100,000 平均价 0.0400	3,975.00	

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SFC C.E number: AAC298



客戶編號: 6696

結單日期: 2015年03月31日

頁數: 6/9

待結算交易					金額
交易日期	交收日期	參考編號	事項細節		
27/03	01/04	730368041	賣出 DNDNQ DENDREON CORP 股數 100,000 平均價 0.0400		3,976.00
27/03	01/04	730368051	賣出 DNDNQ DENDREON CORP 股數 100,000 平均價 0.0400		3,975.00
27/03	01/04	730368061	賣出 DNDNQ DENDREON CORP 股數 92,612 平均價 0.0400		3,679.48
30/03	02/04	731913931	買入 ALTR ALTERA CORP 股數 5,000 平均價 42.0772	(210,638.56)	
30/03	02/04	731913941	賣出 DNDNQ DENDREON CORP 股數 100,000 平均價 0.0400		3,975.00
31/03	06/04	733212891	買入 ALTR ALTERA CORP 股數 1,000 平均價 42.7950	(42,846.35)	
31/03	06/04	733212901	賣出 DNDNQ DENDREON CORP 股數 100,000 平均價 0.0400		3,975.00
待結算總金額					(291,412.15)

證券存倉摘要								
證券代號/ 名稱	月初持數量	本月股份進支	待結算股數	暫不可用股數	月終持數量	收市價	总市值	(孖展比率%) 孖展價值
<u>香港股票市場 (HKD)</u>								
00342 新海能源集團有限公司	100,000	(100,000)	0	0	0	3.830000	0.00	(20) 0.00
<u>上海股票市場-A 股 (CNY)</u>								
600000 浦發銀行	130,000	(130,000)	0	0	0	15.790000	0.00	(50) 0.00
<u>美國股票市場 (USD)</u>								
ALTR ALTERA CORP	13,000	55,000	8,000	0	76,000	42.910000	3,261,160.00	(60) 1,956,696.00
DNDNQ DENDREON CORP	4,880,000	(2,750,820)	(792,612)	0	1,336,568	0.039000	52,126.15	(0) 0.00
FSL FREESCALE SEMICONDUCTOR LTD	5,000	(5,000)	0	0	0	40.760000	0.00	(0) 0.00
INTC INTEL CORP	5,000	(5,000)	0	0	0	31.270000	0.00	(70) 0.00
總額 (相當于港元)							25,692,877.45	15,173,199.13

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客戶編號: 6696

結單日期: 2015年03月31日

頁數: 7/9

利息摘要			
货币	日期	应收利息	应付利息
<u>人民币 (CNY)</u>			
		利率:	利率:
	01/03-31/03	0.250000%	11.500000%
<u>港币 (HKD)</u>			
		利率:	利率:
	01/03-31/03	0.010000%	5.000000%
<u>美元 (USD)</u>			
		利率:	利率:
	01/03-31/03	0.010000%	3.250000%

戶口總覽			
<u>人民币 (CNY)</u>			
現金結餘 (包轉後結餘及待交收之交易淨額)			0.00
證券市值			0.00
帳戶淨值			0.00
	兌換率: 相当于港元 1.249000		0.00
<u>港币 (HKD)</u>			
現金結餘 (包轉後結餘及待交收之交易淨額)			1,071.97
證券市值			0.00
帳戶淨值			1,071.97
<u>美元 (USD)</u>			
現金結餘 (包轉後結餘及待交收之交易淨額)			(1,222,214.97)
證券市值			3,313,286.15
帳戶淨值			2,091,071.18
	兌換率: 相当于港元 7.754500		16,215,211.47
<u>綜合戶口概況: 港元等值</u>			
現金結餘 (包轉後結餘及待交收之交易淨額)			(9,476,594.01)
證券市值			25,692,877.45
帳戶淨值			16,216,283.44
可用孖展價值 (證券孖展價值/信貸額 (以較低者為準))			9,500,000.00
信貸額 (有效至: 30/03/2016)			9,500,000.00
可用結餘/(追收孖展金額) (包 現金結餘 及 證券孖展價值/信貸額 (以較低者為準))			23,405.99

香港花園道1號中銀大廈20樓

20th Floor, Bank of China Tower, 1 Garden Road, Hong Kong.

如有任何垂詢, 私人客戶請聯絡閣下的客戶經理, 或請致電 (852) 3988 3988

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電話 Tel: (852) 2121 0088 傳真 Fax: (852) 2524 7327 網址 website: www.bocigroup.com / www.bocionline.com

SFC C.E number: AAC298



客戶編號: 6696

結單日期: 2015年03月31日

頁數: 8/9

### 备注

01. 閣下可于本公司网页(www.bocionline.com)查询最新服务收费。如 閣下未能成功进入本公司网页查阅最新收费表, 请与 閣下的客户经理联络。
  02. 此单据所载之货币兑换率只作参考用途及不应视作最终结单之真实持有价值。
  03. 为了提供更好的服务水平, 閣下现可以透过中银国际证券有限公司网站www.bocionline.com的服务下载日结单或月结单。关于下载指示, 请到 [http://www.bocionline.com/ch/home/products\\_and\\_services.htm](http://www.bocionline.com/ch/home/products_and_services.htm) 选取“客户服务平台”。
  04. 閣下如欲查询本账单或下载账单事项, 请与 閣下的客户经理联络或致电24小时服务专线(852) 2121-0088或发电邮到service@bocigroup.com。
  05. 为保障客户资产, 由2012年11月3日起, 中银国际证券有限公司的网上提款服务平台只供閣下下达提款指示, 汇款至已由閣下预先登记的香港银行账户。如 閣下欲登记银行账户作提款之用, 请与閣下的客户经理或于办公时间致电852-2121-0088。
  06. 新加坡、日本、英国、德国、法国、瑞典及荷兰证券市场的交易服务收费将于2012年11月5日起作出调整, 详情请参阅网页。
  07. 由2012年12月1日起, 澳洲股票市场将会新增以下两项代理人服务收费: (1) 索取股东周年或特别大会议程 - 每宗60澳元; 及 (2) 委托代理人表决或出席会议 - 每宗60澳元。
  08. 由2014年5月2日起, 新加坡证券交易清算费将由0.04%下调至0.0325%, 该收费的600新元上限亦会同时删除。请注意, 有关新加坡证券交易清算费的调整将延迟至2014年6月1日起生效。
  09. 公司行动 - 零碎股处理 就某些美国、加拿大及欧洲上市股票的公司行动, 获派零碎股的股东可能会以收取现金(即: 出售零碎股所得款项的净额)代替收取零碎股份。请注意, 相应现金款额将由我以市价出售零碎股后记入在相关股东的账户上, 有关的支付可能迟于实际公司行动的付款日。
  10. 中银国际证券将于2014年2月21日(「终止日」)起终止提供网上基金交易服务。客户可在终止日后透过客户经理/投资服务代表处理基金交易的指示。
  11. 部分海外股票市场的无纸化股票存入手续费将会由2014年8月1日起下调, 详情请参阅我司网页「最新消息」。
  12. 中银国际证券有限公司将由2014年7月28日起停止收取新加坡股票市场的SGX交易系统费。
  13. 备注: (1) 沪港股票交易机制中的北向交易将按照上交所A股市场的交收周期, 股票交收将于T日进行而款项交收将于T+1日完成。于「交易总览」部份所标之北向交易的交收日, 会以款项交收日显示, 然而股票的交收已于交易日发生及反映于证券持仓摘要中。(2) 在此文件所列与有关北向交易的费用及税款(如有)已经/将会透过香港交易所缴交中国证券登记结算有限责任公司再处理。
  14. 根据港交所通告, 沪港通推出日期为2014年11月17日。客户在交易或买卖中港通股票前, 请先细阅我司网页(www.bocionline.com)上的中港通补充条款。在任何时间您向中银国际证券有限公司通过中港通下单或以其他方式从事有关中港通交易, 中港通补充条款被视为适用于该等指示或交易。
  15. 因应市场变化, 由2015年2月1日起, 本公司之瑞士法郎存款年利率将调整为-1%。如閣下有任何疑问, 请与客户经理联络或致电本公司客户服务热线(852) 2718-9666查询。
- 具体事项: 自2013年3月1日起, 除非另有明确限定, 客户透过中银国际证券买卖由意大利常驻公司发行的股票、参与的金融工具、及相当于股本投资证券的所有权的所有交易(例如: 普拉达(股票代码: 01913.hk))时均需缴意大利金融交易税。于2013年3月1日至2013年4月30日期间所进行的相关交易, 相关的意大利金融交易税将于2013年5月3日从客户的账户中扣除。于2013年5月1日起进行的相关交易, 本公司将于该等交易的结算日从客户的账户内扣除相关的意大利金融交易税。详情请参阅我司的网站www.bocionline.com。
- \*\* “CPN资本收益费用”指由发行商据「CPN产品的细则及条款」所扣留之预计资本收益预扣税款。

- 完 -

关于閣下之结单

香港花園道1號中銀大廈20樓

20th Floor, Bank of China Tower, 1 Garden Road, Hong Kong.

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SFC C.E number: AAC298



客戶編號: 6696

結單日期: 2015年03月31日

頁數: 9/9

如本行在此結單發出日期之48小時內沒有收到閣下之任何書面反對通知，本結單內之所有紀錄將被視為正確無誤。

此單據由計算機編印，毋須簽署。

此單據所載之收市價及市值只作參考用途及不應視作最終結單之真實持有量。

由於考慮到付予貴帳戶之借貸服務，貴帳戶存放於中銀國際證券有限公司之證券將作為抵押品。

融資屆滿日期為 2016年03月30日。

此單據亦作為存貨及/或提貨收據。

就有关閣下與中銀國際證券有限公司進行之證券借貸交易(如有)，請參照另外寄上之證券借貸結單。

#### 債券交易之風險披露聲明

以下風險披露聲明不能披露所有涉及之風險。您需於交易或投資之前負責自身的資料收集和研究。您應按個人自身的財政狀況及投資目標謹慎考慮是否適宜進行交易或投資。我們建議您於進行交易或投資前尋求獨立的財務及專業意見。

投資涉及風險。債券價格可能及確實會波動。任何一只債券的價格可能會上升及下跌，甚至變成毫無價值。買賣債券有其內在風險，因此未必一定能獲取利潤，反而可能導致損失。同理，買賣債務性證券也有其內在風險，因此未必一定能獲取利潤，反而可能導致損失。債券持有人需承受發行者的信貸風險，及不能向中銀國際證券有限公司追索任何賠償。

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SFC C.E number: AAC298



## Monthly Account Statement

HUNG CHIN

WANCHAI HONG KONG

Statement Date: March 31, 2015

Customer number: 6696

Account type: Margin account

Broker number: AE611

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Account name: Xiong Zhan

Transactions overview						
Trans- action date	Settle- ment date	Reference number	Item Details	Amount	Accountbalanc e	Balance on settlement date
<b>RMB (CNY)</b>						
2/28	2/28		Balance carried forward: -		65.00	65.00
3/11	3/12	717110921	Sell 600000 Shanghai Pudong Development Bank Quantity of shares: 130,000 Average price: 14.3346	1,858,596.28	1,858,661.28	1,858,661.28
3/12	3/12	718092040	Foreign currency conversion, exchange rate: 6.2788	(1,858,661.28)	0.00	0.00
3/31	3/31		Carryover balance: -		0.00	0.00
<b>Hong Kong Dollar (HKD)</b>						
2/28	2/28		Balance carried forward: -		2.24	2.24
3/3	3/3	71195882	Credit/debit: <b>CHARGE OF STREAMING QUOTE FEE -</b> (200.00)	(200.00)	(197.76)	(197.76)
3/4	3/4	71242728	Credit/debit: fee levied <b>SH A SHARES PORTFOLIO FEE FOR FEB 2015</b> - (20.61)	(20.61)	(218.37)	(218.37)
3/4	3/4	712514010	Foreign currency conversion, exchange rate: 7.75	220.00	1.63	1.63
3/13	3/13	718906601	Cash deposit - BOC HK	500,000.00	500,001.63	500,001.63
3/13	3/13	718973790	Foreign currency conversion, exchange rate: 7.771	(500,001.00)	0.63	0.63
3/16	3/16	719898271	Cash deposit - BOC HK	500,000.00	500,000.63	500,000.63
3/17	3/17	721321450	Foreign currency conversion, exchange rate: 7.7695	(500,000.00)	0.63	0.63
3/18	3/20	722319401	Sell 00342 NewOcean Energy Holdings Limited Quantity of shares: 100,000 Average price: 3.0200	301,070.75	301,071.38	301,071.38
3/26	3/26	728615830	Foreign currency conversion, exchange rate: 7.76	(300,000.00)	1,071.38	1,071.38
3/31	3/31	709382811	Interest payable	(0.03)	1,071.35	1,071.35
3/31	3/31	709382811	Interest receivable	0.62	1,071.97	1,071.97
3/31	3/31		Carryover balance: -		1,071.97	
<b>US Dollar (USD)</b>						
2/28	2/28		Balance carried forward: -			
2/25	3/2	707117761	Buy ALTR ALTERA CORP Quantity of shares: 2,000	(73,301.36)	220,342.26	18,695.46



SFC C.E number: AAC298



Customer number: 6696

Statement Date: March 31, 2015

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Transactions overview						
Trans- action date	Settle- ment date	Reference number	Item Details	Amount	Account balance	Balance on settlement date
2/25	3/2	707117811	Average price: 36.6068 Buy ALTR ALTERA CORP Quantity of shares: 2,000 Average price: 36.6233	(73,334.40)	147,007.86	(54,638.94)
2/25	3/2	707117781	Sell DNDNQ DENDREON CORP Quantity of shares: 20,000 Average price: 0.0585	1,145.00	148,152.86	(53,493.94)
2/25	3/2	707117791	Sell DNDNQ DENDREON CORP Quantity of shares: 20,000 Average price: 0.0660	1,295.00	149,447.86	(52,198.94)
2/25	3/2	707117801	Sell INTC INTEL CORP Quantity of shares: 1,000 Average price: 33.9950	33,954.21	183,402.07	(18,244.73)
2/25	3/2	707117821	Sell DNDNQ DENDREON CORP Quantity of shares: 20,000 Average price: 0.0560	1,095.00	184,497.07	(17,149.73)
3/3	3/2	80730	Credit/debit: INTC INTEL CORP Cash dividend USD 0.24/SHR R/D 07-02- 2015 - 1,200.00 US DIVIDEND COLLECTION CHARGE = (2.00) Withholding Tax Payable = (360.00) Ex-dividend date : 3/2/2015	838.00	(161,948.18)	(16,311.73)
2/27	3/4	708751441	Sell DNDNQ DENDREON CORP Quantity of shares: 20,000 Average price: 0.0575	1,125.00	(329,300.38)	(15,186.73)
2/27	3/4	708751451	Sell DNDNQ DENDREON CORP Quantity of shares: 20,000 Average price: 0.0580	1,135.00	(328,165.38)	(14,051.73)
2/27	3/4	708751461	Sell DNDNQ DENDREON CORP Quantity of shares: 20,000 Average price: 0.0575	1,125.00	(327,040.38)	(12,926.73)
3/4	3/4	712514010	Foreign currency conversion, exchange rate: 7.75	(28.39)	(327,068.77)	(12,955.12)
3/2	3/5	710832751	Sell FSL FREESCALE SEMICONDUCTOR LTD Quantity of shares: 5,000 Average price: 39.7000	198,261.80	(321,593.77)	185,306.68
3/3	3/6	712329911	Buy ALTR ALTERA CORP Quantity of shares: 5,000 Average price: 36.7146	(183,793.29)	(372,632.94)	1,513.39
3/3	3/6	712329921	Buy ALTR ALTERA CORP Quantity of shares: 5,000 Average price: 36.6928	(183,684.16)	(556,317.10)	(182,170.77)
3/3	3/6	712329891	Sell DNDNQ DENDREON CORP Quantity of shares: 100,000 Average price: 0.0560	5,575.00	(550,742.10)	(176,595.77)
3/3	3/6	712329901	Sell DNDNQ DENDREON CORP Quantity of shares: 100,000 Average price: 0.0560	5,575.00	(545,167.10)	(171,020.77)
3/3	3/6	712329931	Sell DNDNQ DENDREON CORP Quantity of shares: 60,000 Average price: 0.0566	3,369.20	(541,797.90)	(167,651.57)



SFC C.E number: AAC298



Customer number: 6696

Statement Date: March 31, 2015

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Transactions overview						
Trans- action date	Settle- ment date	Reference number	Item Details	Amount	Account balance	Balance on settlement date
3/3	3/6	712329941	Sell DNDNQ DENDREON CORP Quantity of shares: 100,000 Average price: 0.0570	5,675.00	(536,122.90)	(161,976.57)
3/4	3/9	713220711	Buy ALTR ALTERA CORP Quantity of shares: 5,000 Average price: 36.2000	(181,217.20)	(623,881.26)	(343,193.77)
3/4	3/9	713220691	Sell DNDNQ DENDREON CORP Quantity of shares: 100,000 Average price: 0.0540	5,375.00	(618,506.26)	(337,818.77)
3/4	3/9	713220701	Sell DNDNQ DENDREON CORP Quantity of shares: 100,000 Average price: 0.0540	5,375.00	(613,131.26)	(332,443.77)
3/4	3/9	713220721	Sell DNDNQ DENDREON CORP Quantity of shares: 100,000 Average price: 0.0540	5,375.00	(607,756.26)	(327,068.77)
3/5	3/10	714196021	Sell DNDNQ DENDREON CORP Quantity of shares: 100,000 Average price: 0.0550	5,475.00	(656,810.84)	(321,593.77)
3/6	3/11	714995031	Buy ALTR ALTERA CORP Quantity of shares: 3,000 Average price: 35.5240	(106,699.89)	(548,981.60)	(428,293.66)
3/6	3/11	714995041	Buy ALTR ALTERA CORP Quantity of shares: 3,000 Average price: 35.9000	(107,829.24)	(656,810.84)	(536,122.90)
3/9	3/12	715865651	Buy ALTR ALTERA CORP Quantity of shares: 2,000 Average price: 35.7738	(71,633.36)	(827,701.25)	(607,756.26)
3/12	3/12	718092040	Foreign currency conversion, exchange rate: 6.2788	296,021.74	(531,679.51)	(311,734.52)
3/10	3/13	716694851	Buy ALTR ALTERA CORP Quantity of shares: 5,000 Average price: 35.3338	(176,881.00)	(825,564.73)	(488,615.52)
3/10	3/13	716694841	Sell INTC INTEL CORP Quantity of shares: 4,000 Average price: 31.9950	127,826.42	(697,738.31)	(360,789.10)
3/13	3/13	718973790	Foreign currency conversion, exchange rate: 7.771	64,341.91	(633,396.40)	(296,447.19)
3/12	3/17	718764941	Buy ALTR ALTERA CORP Quantity of shares: 5,000 Average price: 34.9851	(175,135.41)	(801,573.40)	(471,582.60)
3/12	3/17	718764931	Sell DNDNQ DENDREON CORP Quantity of shares: 100,000 Average price: 0.0427	4,245.00	(797,328.40)	(467,337.60)
3/17	3/17	721321450	Foreign currency conversion, exchange rate: 7.7695	64,354.21	(732,974.19)	(402,983.39)
3/13	3/18	719625661	Buy ALTR ALTERA CORP Quantity of shares: 5,000 Average price: 34.8000	(174,208.80)	(741,124.19)	(577,192.19)
3/13	3/18	719625671	Sell DNDNQ DENDREON CORP Quantity of shares: 100,000 Average price: 0.0410	4,075.00	(737,049.19)	(573,117.19)
3/13	3/18	719625681	Sell DNDNQ DENDREON CORP Quantity of shares: 100,000	4,075.00	(732,974.19)	(569,042.19)

SFC C.E number: AAC298



Customer number: 6696

Statement Date: March 31, 2015

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Transactions overview						
Trans- action date	Settle- ment date	Reference number	Item Details	Amount	Account balance	Balance on settlement date
3/16	3/19	720553341	Average price: 0.0410 Buy ALTR ALTERA CORP Quantity of shares: 2,000	(71,018.12)	(738,720.19)	(640,060.31)
3/16	3/19	720553331	Average price: 35.4665 Sell DNDNQ DENDREON CORP Quantity of shares: 100,000	4,475.00	(734,245.19)	(635,585.31)
3/16	3/19	720553351	Average price: 0.0450 Sell DNDNQ DENDREON CORP Quantity of shares: 28,800	1,271.00	(732,974.19)	(634,314.31)
3/17	3/20	722056991	Average price: 0.0450 Buy ALTR ALTERA CORP Quantity of shares: 3,000	(105,857.88)	(739,397.19)	(740,172.19)
3/17	3/20	722056981	Average price: 35.2437 Sell DNDNQ DENDREON CORP Quantity of shares: 100,000	4,375.00	(735,022.19)	(735,797.19)
3/17	3/20	722057011	Average price: 0.0440 Sell DNDNQ DENDREON CORP Quantity of shares: 71,200	2,823.00	(732,199.19)	(732,974.19)
3/20	3/25	725469621	Average price: 0.0400 Sell DNDNQ DENDREON CORP Quantity of shares: 20,000	775.00	(904,646.72)	(732,199.19)
3/23	3/26	726681621	Average price: 0.0400 Buy ALTR ALTERA CORP Quantity of shares: 2,000	(73,395.47)	(919,371.43)	(805,594.66)
3/23	3/26	726681681	Average price: 36.6538 Buy ALTR ALTERA CORP Quantity of shares: 2,000	(73,260.31)	(992,631.74)	(878,854.97)
3/23	3/26	726681631	Average price: 36.5863 Sell DNDNQ DENDREON CORP Quantity of shares: 100,000	3,975.00	(988,656.74)	(874,879.97)
3/23	3/26	726681641	Average price: 0.0400 Sell DNDNQ DENDREON CORP Quantity of shares: 100,000	3,979.50	(984,677.24)	(870,900.47)
3/23	3/26	726681651	Average price: 0.0400 Sell DNDNQ DENDREON CORP Quantity of shares: 100,000	3,975.00	(980,702.24)	(866,925.47)
3/23	3/26	726681661	Average price: 0.0400 Sell DNDNQ DENDREON CORP Quantity of shares: 100,000	4,075.00	(976,627.24)	(862,850.47)
3/23	3/26	726681671	Average price: 0.0410 Sell DNDNQ DENDREON CORP Quantity of shares: 100,000	4,275.00	(972,352.24)	(858,575.47)
3/23	3/26	726681701	Average price: 0.0430 Sell DNDNQ DENDREON CORP Quantity of shares: 100,000	4,175.00	(968,177.24)	(854,400.47)
3/26	3/26	728615830	Average price: 0.0420 Foreign currency conversion, exchange rate: 7.76	38,659.79	(929,517.45)	(815,740.68)
3/24	3/27	727673111	Sell DNDNQ DENDREON CORP Quantity of shares: 100,000 Average price: 0.0410	4,075.00	(983,415.19)	(811,665.68)

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Transactions overview						
Trans- action date	Settle- ment date	Reference number	Item Details	Amount	Account balance	Balance on settlement date
3/24	3/27	727673131	Sell DNDNQ DENDREON CORP Quantity of shares: 100,000 Average price: 0.0401	3,980.00	(979,435.19)	(807,685.68)
3/24	3/27	727673141	Sell DNDNQ DENDREON CORP Quantity of shares: 100,000 Average price: 0.0407	4,040.50	(975,394.69)	(803,645.18)
3/25	3/30	728562341	Buy ALTR ALTERA CORP Quantity of shares: 2,000 Average price: 35.1038	(70,291.75)	(1,190,008.25)	(873,936.93)
3/25	3/30	728562331	Sell DNDNQ DENDREON CORP Quantity of shares: 100,000 Average price: 0.0400	3,975.00	(1,186,033.25)	(869,961.93)
3/25	3/30	728562351	Sell DNDNQ DENDREON CORP Quantity of shares: 100,000 Average price: 0.0400	3,975.00	(1,182,058.25)	(865,986.93)
3/26	3/31	729457351	Buy ALTR ALTERA CORP Quantity of shares: 1,000 Average price: 34.7070	(34,748.65)	(1,192,147.73)	(900,735.58)
3/26	3/31	729457361	Buy ALTR ALTERA CORP Quantity of shares: 1,000 Average price: 34.7230	(34,764.67)	(1,226,912.40)	(935,500.25)
3/26	3/31	729457371	Sell DNDNQ DENDREON CORP Quantity of shares: 80,000 Average price: 0.0400	3,175.00	(1,223,737.40)	(932,325.25)
3/26	3/31	729457381	Sell DNDNQ DENDREON CORP Quantity of shares: 70,820 Average price: 0.0400	2,807.80	(1,220,929.60)	(929,517.45)
3/31	3/31	709382861	Interest payable	(1,285.45)	(1,222,215.05)	(930,802.90)
3/31	3/31	709382861	Interest receivable	0.08	(1,222,214.97)	(930,802.82)
Carryover balance :-					(1,222,214.97)	(930,802.82)

Pending Transactions					
Transaction date	Settlement date	Reference number	Item Details	Amount	
US Dollar (USD)					
3/27	4/1	730368031	Buy ALTR ALTERA CORP Quantity of shares: 2,000 Average price: 34.6748	(69,432.72)	
3/27	4/1	730367991	Sell DNDNQ DENDREON CORP Quantity of shares: 100,000 Average price: 0.0400	3,975.00	
3/27	4/1	730368001	Sell DNDNQ DENDREON CORP Quantity of shares: 100,000 Average price: 0.0400	3,975.00	
3/27	4/1	730368011	Sell DNDNQ DENDREON CORP Quantity of shares: 100,000 Average price: 0.0400	3,975.00	

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Pending Transactions				
Trans- action date	Settle- ment date	Reference number	Item Details	Amount
3/27	4/1	730368041	Sell DNDNQ DENDREON CORP Quantity of shares: 100,000 Average price: 0.0400	3,976.00
3/27	4/1	730368051	Sell DNDNQ DENDREON CORP Quantity of shares: 100,000 Average price: 0.0400	3,975.00
3/27	4/1	730368061	Sell DNDNQ DENDREON CORP Quantity of shares: 92,612 Average price: 0.0400	3,679.48
3/30	4/2	731913931	Buy ALTR ALTERA CORP Quantity of shares: 5,000 Average price: 42.0772	(210,638.56)
3/30	4/2	731913941	Sell DNDNQ DENDREON CORP Quantity of shares: 100,000 Average price: 0.0400	3,975.00
3/31	4/6	733212891	Buy ALTR ALTERA CORP Quantity of shares: 1,000 Average price: 42.7950	(42,846.35)
3/31	4/6	733212901	Sell DNDNQ DENDREON CORP Quantity of shares: 100,000 Average price: 0.0400	3,975.00
Pending Transaction Total				(291,412.15)

Securities Custody Summary							
Stock Code / name BOM Holdings		Current month stock credit/debi t	# of pendin g shares	# of temp.u navail- able shares	EOM Holdings	Closing price	(Margin rate) Aggregate market value Margin balance
Hong Kong Stock Market (HKD)							
00342	NewOcean Energy Holdings Limited 100,000	(100,000)	0	0	0	3.830000	0.00 (20) 0.00
Shanghai Stock Market A Share Index (CNY)							
600000	Shanghai Pudong Development Bank 130,000	(130,000)	0	0	0	15.790000	0.00 (50) 0.00
U.S. Stock Market (USD)							
ALTR	ALTERA CORP 13,000	55,000	8,000	0	76,000	42.910000	3,261,160.00 (60) 1,956,696.00
DNDN	DENDREON CORP 4,880,000	(2,750,820)	(792,612)	0	1,336,568	0.039000	52,126.15 (0) 0.00
FSL	FREESCALE SEMICONDUCTOR LTD 5,000	(5,000)	0	0	0	40.760000	0.00 (0) 0.00
INTC	INTEL CORP 5,000	(5,000)	0	0	0	31.270000	0.00 (70) 0.00
Total (equivalent of HKD)						25,692,877.45	15,173,199.13

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Interest summary			
Currency	Date	Interest receivable	Interest payable
<u>RMB (CNY)</u>		Interest rate:	Interest rate:
	3/1-3/31	0.250000%	11.500000%
<u>Hong Kong Dollar (HKD)</u>		Interest rate:	Interest rate:
	3/1-3/31	0.010000%	5.000000%
<u>US Dollar (USD)</u>		Interest rate:	Interest rate:
	3/1-3/31	0.010000%	3.250000%

Account overview	
<u>RMB (CNY)</u>	
Cash balance (Including carryover balance and pending transactions net amount )	0.00
Market value of securities	0.00
Account net value	0.00
	Exchange rate: equivalent of HKD 1.249000
<u>Hong Kong Dollar (HKD)</u>	
Cash balance (Including carryover balance and pending transaction net amount )	1,071.97
Market value of securities	0.00
Account net value	1,071.97
<u>US Dollar (USD)</u>	
Cash balance (Including carryover balance and pending transactions net amount )	(1,222,214.97)
Market value of securities	3,313,286.15
Account net value	2,091,071.18
	Exchange rate: equivalent of HKD 7.754500
<u>Summary of all accounts: HKD equivalent</u>	
Cash balance (Including carryover balance and pending transactions net amount )	(9,476,594.01)
Market value of securities	25,692,877.45
Account net value	16,216,283.44
Available margin value (securities margin value/line of credit (whichever is lesser))	9,500,000.00
Line of Credit (valid until 3/30/16)	9,500,000.00
Available balance (margin call amount) (includes cash balance and securities margin value/line of credit (whichever is lesser))	23,405.99



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**Special Notes**

01. You can look up the latest service fees on our website ([www.bocionline.com](http://www.bocionline.com)). If you cannot successfully access our webpage to look up the latest fee chart, please contact your customer service manager.
02. The currency exchange rates listed in this statement are for reference only and should not be used for the actual holding value in the final settlement statement.
03. For better service, you can download daily statements or monthly statements from [www.bocionline.com](http://www.bocionline.com). For instructions on how to download statements, please go to [http://www.bocionline.com/ch/home/products\\_and\\_services.htm](http://www.bocionline.com/ch/home/products_and_services.htm) and select "Customer Service Platform".
04. If you wish to ask a question about this statement or about downloading statements, you can contact your customer service manager, call the 24-hour service line at (852) 2121-0088, or send an email to [service@bocigroup.com](mailto:service@bocigroup.com).
05. As of November 3, 2012, to better protect customer assets, the online withdrawal service platform of BOCI Securities Limited can only take withdrawal order, and remittance is to be made to a Hong Kong bank account that you have preregistered. If you wish to register a bank account for the purposes of withdrawals, please contact your customer service manager or call 852-2121-0088 during business hours.
06. The service fees for transactions on the securities markets of Singapore, Japan, the United Kingdom, Germany, France, Switzerland, and the Netherlands are to be adjusted starting on November 5, 2012. Please refer to the website for more details.
07. Starting from December 1, 2012, the Australian stock market will add the following two brokerage service fees: (1) 60 Australian Dollar (AUD) per request for shareholders' anniversary or special meeting agenda; (2) 60 Australian Dollar (AUD) per request to entrust agent to vote or attend shareholder's meeting.
08. Starting from May 2, 2014, clearance fee for the Singapore securities transaction will be decreased from 0.04% to 0.0325%. The 600 Singapore Dollar (SGD) upper limit of this fee will also be eliminated at that time. Please note that the effective date for adjustment in clearance fee for Singapore securities transaction has been postponed to June 1, 2014.
09. Corporate action – treatment of odd lots. Regarding corporate action of some listed stocks in the US, Canada and Europe, shareholders who are allocated odd lots may receive cash (i.e. net amount of receipts in selling odd lots) instead of odd lots shares. Please note the corresponding cash amount will be recorded in the accounts of shareholders concerned after we sell the odd lots at market value, and the payment may occur later than the date of payment from actual corporate action.
10. BOCI Securities Limited will terminate its online fund trading services on February 21, 2014 ("termination date.") After the termination date, customers will be able to have their customer service managers/investment service brokers process fund trading requests.
11. Service fees for paperless stock deposit of some foreign stock markets will be adjusted downward on August 1, 2014. For details, please refer to our "Latest News" webpage.
12. BOCI Securities Limited will stop charging the SGX trading system fee of the Singapore stock market on July 28, 2014.
13. Notes: (1) "Northbound transactions" using the Shanghai-Hong Kong stock Connect trading mechanism will follow the settlement cycle of the Shanghai Stock Exchange A-share market, with stock settlement on T date, and money settlement on T+1 day. The settlement date for "northbound transactions" as listed in the "Transactions Overview" of this statement will show money settlement, while stock settlement will have already occurred on the transaction date and will be reflected as such in the securities custody summary. (2) The fees and taxes (if any) listed in this statement that are related to "northbound transactions" have been and will be submitted to the China Securities Depository and Clearing Company Limited (CSDCC) by Hong Kong Exchanges and Clearing Ltd. (HKEx) for further processing.
14. According to the notice issued by HKEx, Shanghai-Hong Kong Stock Connect will be rolled out on November 17, 2014. Before trading stocks on Shanghai-Hong Kong Stock Connect, customers should carefully read the supplementary terms for Shanghai-Hong Kong Stock Connect on our website ([www.bocionline.com](http://www.bocionline.com)). Anytime you place an order to BOCI Securities via Shanghai-Hong Kong Stock Connect or engage in Shanghai-Hong Kong Stock Connect trading in other format, the supplementary terms are regarded as applicable to such order or trading.
15. In response to market changes, the annual percentage yield of Swiss francs deposited with our company will be adjusted to -1% from February 1, 2015 on. If you have any questions about this, please contact your customer service manager or call our customer service line at (852) 2718-9666.
- Special reminder: Starting on March 1, 2013, except for any other clear specification, clients' trading through BOCI Securities of all stock issued, financial tools participated, and equity investment securities with de-facto ownership assumed, by resident branches of Italian companies (e.g., Prada (stock code: 01913.hk)) is subject to Italian financial transaction taxation.
- For transactions concerned that occurred between March 1, 2013 and April 30, 2013, the Italian financial transaction tax will be deducted from the customer's account on May 3, 2013. For transactions concerned that occurred from May 1, 2013 onward, we will deduct the related Italian financial transaction tax from the customer's account on the settlement day of the transaction. For more details, please see our website at [www.bocionline.com](http://www.bocionline.com).
- \*\* "CPN capital gains tax" refers to the withholding tax on anticipated capital gains deducted by the issuer according to the "CPN Product Terms and Conditions."

- END -

About your statement

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If this bank does not receive any objection in writing from you within 48 hours after the statement issuance date, then all records included in this statement will be regarded as accurate and error-free. This statement is compiled and printed by computer and does not require any signature. The closing prices and market values listed in this statement are for reference only and should not be used for the actual holding value in the final settlement statement.

In view of the credit services granted to your account, the securities your account deposits with BOCI Securities will be treated as collateral.

The financing expiration date is January 31, 2016.

This statement also serves as depository and/or delivery receipts.

For your securities lending transactions with BOCI Securities (if applicable), please refer to the securities lending settlement statement that's mailed to you separately.

#### **Risk Disclosure Statement for Bond Transactions**

The following risk disclosure statement may not disclose all the risks concerned. You must take responsibility for collecting and studying information on your own before entering any transaction or investment. You should carefully consider whether or not the trading or investment is suitable for your own financial situation and investment goals. We recommend that you seek independent financial and professional advice before entering any transaction or investment.

Investment always involves risks. Bond prices can and will fluctuate. The price of any bond may rise or fall or even become worthless. There are inherent risks to buying and selling bonds, so there is no guarantee that it will make a profit, while it may in fact lead to a loss. Similarly, there are inherent risks to buying and selling debt securities, so there is no guarantee that it will make a profit, while it may in fact lead to a loss. Bond holders must bear the credit risk of the issuer and cannot make any claims for compensation against BOCI Securities Limited.



## CERTIFICATION

This is to certify that the attached English language documents are true and accurate translations of the original Chinese language documents to the best of our knowledge and belief. The documents are identified as below:

Schreiber Translations, Inc.

51 Monroe Street

Suite 101

Rockville, MD 20850

P: 301.424.7737

F: 301.424.2336

"20141031\_██████████6696-██████████\_1\_1\_1415142843210", "20141130\_██████████6696-  
██████████\_1\_1\_1417556783307", "20141231\_██████████6696-██████████\_1\_1\_1420472457188",  
"20150131\_██████████6696-██████████\_1\_1\_1422921400287", "20150131\_██████████6696-  
██████████\_1\_1\_1422921400849", "20150228\_██████████6696-██████████\_1\_1\_1425319776925",  
"20150331\_██████████6696-██████████\_1\_1\_1428160938182", "20150430\_██████████6696-  
██████████\_1\_1\_1430773110781", "20150531\_██████████6696-██████████\_1\_1\_1433203014065",  
"20150831\_██████████6696-██████████\_1\_1\_1441342950659", "SEC-HKSFC-P-0000353", "SEC-  
HKSFC-P-0000358", "SEC-HKSFC-P-0000363", "SEC-HKSFC-P-0000369", "SEC-HKSFC-P-  
0000374", "20150630\_██████████6696-██████████\_1\_1\_1436374398049", "20150731\_██████████6696-  
██████████\_1\_1\_1438663193411", "20150930\_██████████6696-██████████\_1\_1\_1443924586959",  
"20151031\_██████████6696-██████████\_1\_1\_1446499570183", "20151130\_██████████6696-  
██████████\_1\_1\_1449219975285", "20151231\_██████████6696-██████████\_1\_1\_1451873835587"

Executed this 13<sup>th</sup> day  
of April, 2016

Schreiber Translations, Inc.  
51 Monroe Street, Suite 101  
Rockville, Maryland 20850  
ATA Member 212207

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